## MISSOURI STATE UNIVERSITY

# PERIODIC REVIEW OF REAPPPOINTMENT (OR RENEWAL OF CONTRACT), TENURE, PROMOTION GUIDELINES

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THIS PLAN IS IN EFFECT FROM 2022, THROUGH 2025.

# DEPARTMENT OF COMMUNICATION MISSOURI STATE UNIVERSITY

# PERSONNEL COMMITTEE PROCEDURE MANUAL AND EVALUATION GUIDELINES

# REVISED May 2015 PERSONNEL COMMITTEE PROCEDURE MANUAL AND EVALUATION GUIDELINES

# REVISED March 2017 PERSONNEL COMMITTEE PROCEDURE MANUAL AND EVALUATION GUIDELINES

# REVISED May, November 2021; February 2022 PERSONNEL COMMITTEE PROCEDURE MANUAL AND EVALUATION GUIDELINES

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# THE PERSONNEL COMMITTEE: ITS STRUCTURE, FUNCTIONS, AND MEMBERSHIP

The Missouri State University <u>Faculty Handbook</u> specifies that each member of the ranked faculty will be evaluated annually, including tenured faculty. Each department is charged with establishing procedures for accomplishing these things, and the Personnel Committee was created initially for that purpose. See <u>Faculty Handbook</u> section 4.8.3.

Detailed information about the university's procedures for annual evaluation of progress toward tenure, tenure and promotion are contained in the <u>Faculty Handbook</u> section 4.6. In this department, both the procedures used in processing an application for personnel action (i.e., annual evaluation; annual evaluation of progress toward tenure for untenured, tenure-eligible faculty; tenure; and promotion) and the guidelines or criteria used in evaluating such an application shall be those procedures and guidelines stipulated below in this document.

In addition, probationary faculty (i.e., faculty who are eligible for tenure but are not yet tenured) submit annually a dossier that is more detailed than the Annual Report. The dossier describes in detail the faculty member's activities and outcomes in the areas of teaching, research/scholarly activity, and service. It serves as a cumulative record and provides the foundation for the tenure and promotion dossier submitted, typically, at the beginning of the faculty member's sixth year of service to the university. For each probationary faculty member, the Personnel Committee provides an annual letter evaluating the faculty member's strengths and areas where improvement is needed and assessing his or her progress toward tenure.

# The Charge of the Personnel Committee

The Personnel Committee has two major purposes. First, it makes written recommendations to the Department Head regarding annual evaluation of progress toward tenure, tenure, and promotion for individual faculty members. These written recommendations are given both to the faculty member and the Department Head, where they become part of the formal performance evaluation process. Second, the Personnel Committee establishes and employs departmental policies that take the form of procedures and guidelines relevant to personnel actions and may recommend policy changes to the full faculty for its consideration. Any faculty member may initiate a request for a particular department policy to be created or reviewed by the committee.

# Membership

The Personnel Committee is composed of all the tenured members of the Department of Communication. Tenured members of the department who serve as full- time administrators at the university have generally removed themselves from participation in and voting on matters where they may subsequently have to act in their administrative capacities. For example, although such members have participated in discussions of procedural matters, they have not participated in discussions of substantive matters relating to specific personnel actions, although they do receive information from the committee as a courtesy. From time to time, the committee may ask non-tenured members to attend meetings, provide information, help review policies, work on subcommittees, and so forth. While such "invited" faculty may participate fully and vote on subcommittee matters, they do not participate in or vote on Personnel Committee matters of annual evaluation of progress toward tenure, tenure, or promotion.

# Leadership

# Chair

The Personnel Committee is headed by the chair, who is responsible for organizing and conducting meetings, obtaining and circulating information and materials required by the committee, and producing the written recommendations of the committee. The chair serves as a coordinator, and is free to participate and vote on all matters, not just to break voting ties. Normally, the vice-chair succeeds to the position in the following year; otherwise, the chair is elected.

#### Vice-chair

The vice-chair is responsible for carrying out the duties of the chair during the chair's absence, and generally helps with the organization of the committee. The vice-chair is usually elected at the initial organizational meeting of the academic year, when newly tenured members of the committee have the first opportunity to vote. The vice-chair then becomes chair of the committee during the following academic year.

#### Recorder

The recorder is responsible for recording actions taken and decisions made by the committee and circulating them to the rest of the committee, usually in the form of minutes. A recorder may be elected, usually at the initial organizational meeting, for the full academic year, or different individuals may volunteer to record decisions at each meeting.

#### Committee Procedures

#### **Discussion Procedures**

The parliamentary authority for the Personnel Committee in all matters not in conflict with the <u>Faculty Handbook</u> or other applicable department or college policy and procedure statements shall be the most recent edition of <u>Robert's Rules of Order</u>, <u>Newly Revised</u>. Unless otherwise required by university or college procedure, the Personnel Committee will conduct its business and make its reports or recommendations in accordance with the parliamentary authority identified above.

#### **Voting Procedures**

#### Absentee Votes

Absentee votes are permitted at Committee meetings when members are required to be absent as a consequence of either academic leave or performing another academic assignment. The Committee chair will accept and count absentee votes on personnel actions prior to discussion. Absentee votes on proposed changes in Committee procedures or guidelines may be counted at the discretion of the chair.

#### **Proxy Votes**

Proxy votes are not permitted.

# **Reporting Procedures**

Personnel Committee members vote on and correspondingly report or recommend regarding two categories of business: personnel actions and personnel policies (i.e., procedures and evaluation guidelines).

#### Reporting on Policy Issues

Routine matters, such as discussions of procedure or other issues that do not pertain to the evaluation of specific individuals, are recorded in the minutes and circulated to members. In addition, both the minutes of previous meetings and agendas of upcoming meetings are posted in the department office for all faculty members to read.

#### Reporting Personnel Actions

The <u>Faculty Handbook</u> calls for yearly evaluation of all faculty (section 4.6). In the Department of Communication, the Personnel Committee also provides untenured ranked faculty with its own annual written evaluation during the annual evaluation of progress toward tenure process. In addition, the committee provides written evaluations of faculty who apply for tenure and promotion.

In these matters of annual evaluation of tenure progress, tenure, and promotion, the committee chair produces a letter that reflects the committee members' assessment of that faculty member's performance, and also includes the results of the committee's assessment. A copy of that letter goes both to the individual faculty member and to the Department Head, and accompanies all subsequent evaluation letters through the chain of command to the president.

The presumption is that a probationary faculty member's appointment will be continued. However, if the faculty member's performance has evidenced problems that warrant non-renewal, the Personnel Committee, in its annual evaluation, can recommend non-renewal. If a first-year faculty member's appointment is not renewed for the second year, that faculty member must be notified by the Provost by March 1 of the first year. Starting with the second year, a faculty member whose appointment is not renewed must be notified by December 1 of the second year and is given the upcoming year as the terminal year. See Section 4.6.3 of the *Faculty Handbook* for information on annual performance reviews for probationary faculty.

 In certain instances, some members of the committee may disagree with the majority's assessment of the individual and may wish to produce a minority report; in that case, a copy of the minority report, signed by the appropriate individuals, is included with the evaluation letter and sent both to the faculty member and to the Department Head. As before, the minority report accompanies the letter through the entire process.

#### **Violations of Personnel Procedures**

A member of the department Personnel Committee may be subject to limitations on voting privileges on all personnel actions before the committee if through a majority vote of the Personnel Committee they have been found to have violated department faculty rights regarding privacy, due process, and a workplace free of sexual harassment.

#### **Subcommittee Structure**

The Personnel Committee operates using an ad hoc committee structure by creating subcommittees as needed to handle specific items.

In some instances, the subcommittee will report to the Personnel Committee, which is empowered to take final action (for example, consideration of the *Personnel Committee Procedure Manual*). In other instances, the subcommittee will submit its recommendations to the Personnel Committee, which will discuss the subcommittee recommendations and submit, in turn, its own recommendations to the ranked faculty and Department Head for final action.

# **Submitting Items for Committee Consideration**

The Personnel Committee encourages tenured and non-tenured faculty to submit items to be considered by the committee. Such items should be submitted in writing to the chair of the Personnel Committee for inclusion on the agenda.

# Amending the Personnel Committee Procedure Manual and Evaluation Guidelines

Our department's tenure and promotion document is a living document that will be responsive to MSU organizational change and the changing needs of our department. This policy allows two paths for the amendment of the policy.

# Submitting Items for Committee Consideration

During a called meeting of the departmental Personnel Committee, any member of the committee may submit amendments, changes, or other modifications to the tenure and promotion document.

Generally, formal amendments to the tenure and promotion document should be available to Personnel Committee members at least 24 hours before a called meeting. However, this should not be construed to deny suggested changes at any called meeting.

#### Voting

A simple majority of Personnel Committee members must be present at the called meeting. In addition, for a motion to amend the tenure and promotion document to pass, a simple majority of Personnel Committee members present at the called meeting is required for passage.

#### **Email Procedures**

Any member of the Personnel Committee may submit amendments, changes, or other modifications to the tenure and promotion document by email to all members of the Personnel Committee during the regular school year.

For an amendment to pass a simple majority of the members of the Personnel Committee is needed. A simple majority needs to form by email to the Personnel Committee chairperson within 5 working days of the notification of the proposed change.

#### Notification to Members and Revision of Document

 After a change or amendment to the tenure and promotion document has been made it is the responsibility of the Personnel Committee chair to compile the change, reformat the existing document to accurately reflect those changes and then to share the changed document with the Department of Communication

faculty. The document should also be transmitted, as needed and required, to the appropriate university administrative units.

# Definition of Terms

The university uses a number of terms that pertain to matters of personnel. Those used most often by the Personnel Committee are described as follows. Please refer to the *Faculty Handbook*, Glossary and Abbreviations section, for more detailed information about these and other categories.

# **Personnel/Appointment Categories**

#### Renewable Term Appointment

An appointment made for a specified maximum period, and renewable each year up to the end of that period, if the individual holding the appointment is reappointed. In our department, several instructors have been hired in the past with renewable term appointments; they may be reappointed annually through a fifth year of service, but their letters of annual appointment have stated that they may not be employed after that year.

#### Ranked

A tenure-track/tenured faculty holding the rank of Assistant Professor, Associate Professor or Professor.

Unranked

A faculty member in a non-tenure position. [Instructors employed after January 1, 2007 are unranked faculty.]

# **Probationary Faculty**

Faculty appointed for a stated term to a tenure-track position with provisions for review and renewal at the end of the appointment term and designed to lead to a tenure decision at the end of the probationary period.

#### Tenure Track

A faculty member appointed to a tenure-track position that leads to tenure upon successful completion of a probationary period and to faculty who have been awarded tenure. Appointment to a position with academic rank is not synonymous with appointment to a tenure-track position.

#### **Tenured**

A faculty member who has been granted the status (after a probationary period) that protects him or her from arbitrary dismissal is tenure. Tenure gives the faculty member the contractual right to be reemployed for succeeding academic years until he or she resigns, retires, is dismissed for cause, is separated pursuant to a reduction in force, or is unable to perform the duties of the position or dies, but subject to the terms and conditions of employment that exist in the current <u>Faculty Handbook</u> and in future editions of the <u>Faculty Handbook</u> as amended.

#### Terminal Year

Faculty members who are not granted tenure and faculty members in their second, third, fourth, and fifth years of service who are not reappointed are given the upcoming academic year as the terminal year, or final year of employment at Missouri State University. Faculty members in their second and subsequent years of service are notified by April 30 if the year after (i.e., their third or subsequent year) will be their terminal year. Faculty normally apply for tenure in their sixth year; if not granted, their seventh year is their terminal year. "Terminal" year also refers to the final year of a term appointment.

# **Types of Personnel Actions**

All the following actions and procedures for accomplishing them are described in detail in the following sections and are merely defined here. In addition, details may be found in the *Faculty Handbook*, section 3.2

#### **Promotion**

A progression within an appointment series (tenure-track, clinical, research) following fulfillment of criteria and review as specified in departmental promotion documents and the *Faculty Handbook*.

# Annual Appointment

The invitation to a faculty member to teach during the following academic year and to apply for annual appointment during that following year, the procedure by which this is accomplished. It is assumed that tenure-eligible, probationary faculty who receive positive annual evaluations of progress toward tenure, will be reappointed.

#### **Tenure**

The status granted (after a probationary period) to a ranked faculty member protecting him or her from arbitrary dismissal. Tenure gives the faculty member the contractual right to be reemployed for succeeding academic years until he or she resigns, retires, is dismissed for cause, is separated pursuant to a reduction in force, or is unable to perform the duties of the position or dies, but subject to the terms and conditions of employment that exist in the current <u>Faculty Handbook</u> and in future editions of the <u>Faculty Handbook</u> as amended.

# Types of Materials Used in Decision Making

In general, the Personnel Committee may use only material supplied by the faculty member, by that faculty member's supervisor (if applicable), and departmental data in making personnel decisions.

# Materials Supplied by the Faculty Member for Personnel Decisions

The materials to be supplied by the faculty member are outlined in <u>Appendix C</u> and the Reynolds College of Arts and Letters <u>Tenure</u>, <u>Promotion and Annual Evaluation Guidelines</u>. The faculty member is urged to prepare his or her materials with care, so that the strongest possible case may be presented. The faculty member's mentor, members of the Personnel Committee, and members of the Faculty Orientation and Materials Preparation Committee are ready to help the faculty member prepare convincing materials.

#### Departmental Data

Certain data about the faculty member are considered departmental data that belong to the department and are readily available to the Personnel Committee for the purpose of making evaluation decisions. There are three categories of departmental data: 1) student evaluations, including numeric student evaluation of teaching summaries and the handwritten comments of students on the student evaluation of teaching, 2) results of departmental peer reviews, and 3) any conditions or contingencies of employment that are relevant to personnel decisions (such as deadline dates by which a dissertation must be completed, and so forth). No other data are automatically available for Personnel Committee perusal. If other information is needed by the committee (such as transcripts), it may be obtained in one of two ways: 1) it may be released by the faculty member for use in the particular personnel decision being made, or 2) the Personnel Committee Chair may request it of the Department Head after the committee has voted to request it. Certain information may be confidential and the Department Head may not have authority to release it; if so, the Personnel Committee is bound by any such additional restrictions.

#### Information Supplied by the Supervisor

The faculty member may have a departmental supervisor, other than the Department Head, who has responsibility for evaluating his/her performance. For example, the assistant forensics director is supervised by the forensics director, and many instructors are supervised by the basic course director. Generally, this is relevant to annual appointment decisions. The faculty supervisors are generally asked to make oral reports to the Personnel Committee, although they may also be asked to submit written evaluations as well.

# Help Available to the Applicant

Both formal and informal channels are available to assist the applicant throughout the application process. First, the Personnel Committee Chair and/or the Department Head notify the faculty of the deadline dates for applying and submitting materials. All members of the Personnel Committee are available to help both explain the process and help individual applicants prepare materials, and the applicant's mentor is encouraged to provide whatever assistance is sought by the applicant.

Applicants are urged to let the Department Head and the Personnel Committee Chair know as early as possible that they plan to apply, so that the help needed may be provided in timely fashion.

#### Materials Preparation

Generally, dossiers of all probationary faculty are reviewed by the Personnel Committee in February, with Personnel Committee recommendations due to the Department Head in late March (exact dates are announced during the fall semester). Preparation of a clear, compelling dossier is especially important. In their first year, probationary faculty members will meet with the chair of the Personnel Committee and one other member of the Personnel Committee for advice about how to prepare their first evaluation dossier. At that meeting, information will be provided about annual reviews, dossier materials to be included, and overall preparation. Efforts will be made to provide examples of dossiers submitted previously.

Probationary faculty are encouraged to regularly consult with members of the Personnel Committee about the content and presentation of the dossier. Generally, dossiers for faculty applying for tenure and/or promotion must be submitted to the Department Head and Personnel Committee by October 1 (the exact dates are announced the previous spring semester). It is strongly recommended that applicants for tenure and/or promotion submit their dossiers no later than September 1 for initial review and feedback by

members of the Personnel Committee. It is expected, of course, that applicants use that feedback to improve their dossiers prior to submitting them to the Department Head and full Personnel Committee.

#### COMMITTEE PROCEDURES REGARDING PERSONNEL ACTIONS

 The following sections describe in detail the major tasks to be undertaken by the Personnel Committee and the procedures that are followed. Additional information about each procedure is available in the *Faculty Handbook*, section 4.6.2. In all cases, the Personnel Committee makes recommendations to the Department Head, but is not the final decision maker. The Personnel Committee's recommendations are forwarded through the channels along with subsequent recommendations from the Department Head, Dean, and Provost.

# Annual Appointment

Annual appointment is an invitation to teach during the following academic year. According to the *Faculty Handbook* the department must make an assessment of the faculty member's progress toward tenure annually and each untenured, ranked faculty member must be notified by a specified date of the department's decision not to reappoint him or her. Tenure does not occur de facto. (See *Faculty Handbook* section 4.6.1.)

The Personnel Committee will review every untenured, ranked faculty member for annual evaluation toward tenure; the committee invites each such faculty member to submit information in support of his/her application.

#### **Generic Calendar**

The Personnel Committee's dates for considering faculty members for annual appointment are based on the individual faculty member's current year of appointment and the dates established by the university Provost, as follows:

#### First Year

In the first year, to a second, the faculty member must be notified of non-reappointment by March 1.

#### Subsequent Years

In the second year, the faculty member must be notified by December 15 of non-reappointment to the year following the current year (i.e., to the fourth, fifth, or sixth year).

For faculty in the third or later year, non-renewal of contracts occurs 12 months before expiration of the appointment. (Consult Section 4.6.3 – *Annual Reviews for Probationary Faculty* – of the <u>Faculty</u> <u>Handbook</u>.)

Faculty members are instructed to check the yearly Promotion and Tenure Calendar for a complete list of dates and actions at <a href="https://www.missouristate.edu/Provost/tenureandpromotion.htm">https://www.missouristate.edu/Provost/tenureandpromotion.htm</a>

#### **Procedures**

The Chair of the Personnel Committee, with the help of the Department Head, determines what the specific deadlines are and notifies each faculty member of both the university deadlines and the Personnel

Committee date for deliberation. The committee meets at the appointed time, deliberates, and votes by ballot. A copy of the recommendation, including the names of members voting, is given to the faculty member and to the Department Head. This written recommendation, which is a part of the formal evaluation required for all ranked faculty, is included with all subsequent recommendations regarding the candidate for annual evaluation of progress. The Department Head makes his/her own recommendation, a copy of which is provided the candidate, and forwards it to the Dean, who makes his/her own and forwards it to the Provost. The Provost gives his/her recommendation to the President.

The faculty member is customarily informed of all recommendations, even if they are in agreement with the previous recommendation. In all cases where a recommendation differs from that of the Personnel Committee, the administrator who differs is required to notify in writing the faculty member, the Personnel Committee, and any affected administrators of the reasons for the disagreement. At each step along the way, the faculty member may submit additional information or challenge a recommendation. If the faculty member chooses to submit additional information, s/he must so inform the Personnel Committee.

#### **Materials Used**

Materials used for the annual evaluation of all faculty consist of the Annual Report submitted to the Department Head. In addition, probationary faculty who are tenure eligible but not yet tenured submit a dossier that provides a cumulative record of their accomplishments. Materials used for annual evaluation of progress toward tenure include materials supplied by the faculty member, by the supervisor (if applicable), and departmental data. See Appendix C.

# **What Happens Next**

The faculty member has the right to submit additional information on his/her behalf at any step of the annual appointment process and to challenge recommendations made at any level. A formal appeal of an annual appointment recommendation may be made through the university's grievance process, which is described in section 4.6.6.3 of the *Faculty Handbook*.

The final decision on annual appointment is made by the Board of Governors. The faculty member receives a letter indicating the result of the board's vote, which is binding.

#### **Tenure**

Tenure provides continuing employment for the faculty member, until s/he resigns, retires, or is terminated for cause. Only members of the ranked faculty whose appointments are tenure track are eligible to apply for tenure, which attests that a faculty member has achieved a particular standard of performance in teaching, research, and service which is more demanding than the standard for annual appointment. Since tenure represents a lifetime professional commitment to a faculty member, it is based on a thorough evaluation of that faculty member's work over a period of years.

Generally, a faculty member desiring tenure must apply during the sixth year of service to Missouri State University. The initial letter of appointment specifies the last semester during which the faculty member can apply for tenure. Faculty members who apply during their sixth year but are denied tenure are given a terminal year contract for the following academic year.

A faculty member desiring tenure must have his/her eligibility certified by the Provost (this process is initiated by the Department Head and Dean), must make formal application for tenure, and must compile a dossier of materials in support of his/her application.

For details about the tenure procedure, see section 3.7 of the <u>Faculty Handbook</u>. For details about the criteria for tenure, see the pertinent section of this manual.

## **Generic Calendar**

The Personnel Committee's dates for considering faculty members for tenure are based on the university-established deadlines.

#### **Procedures**

 Once the Personnel Committee has established the departmental deadlines, the chair notifies each eligible faculty member of both the university deadlines and the Personnel Committee date for deliberation. The committee meets at the appointed time, deliberates, and votes by ballot. A copy of the recommendation, including the names of members voting, is given to the faculty member and to the Department Head. This written recommendation is forwarded through channels along with all subsequent recommendations. The Department Head makes his/her recommendation and forwards it to the Dean, who makes his/her own and forwards it to the Provost. The Provost gives his/her recommendation to the President. In all cases where a recommendation differs from that of the Personnel Committee, the administrator who differs is required to notify in writing the faculty member, the Personnel Committee, and any affected administrators of the reasons for the disagreement. At each step along the way, the faculty member may submit additional information or challenge a recommendation. If the faculty member chooses to submit additional information, s/he must so inform the Personnel Committee.

#### **Materials Used**

Materials used for tenure include materials supplied by the faculty member and departmental data. Faculty members are required to submit a dossier summarizing their activities in teaching, research, and service, and they should take great care in preparing the dossier. Part of the Personnel Committee's role is to help faculty members submit the best possible dossiers in support of their applications. See <a href="Appendix C">Appendix C</a> for contents of the dossier.

# **What Happens Next**

The faculty member has the right to submit additional information on his/her behalf at any step of the tenure process and to challenge recommendations made at any level. A formal appeal of a tenure recommendation may be made through the university's grievance process, which is described in section 4.6.6.3 of the *Faculty Handbook*.

The final decision on tenure is made by the Board of Governors. The faculty member receives a letter indicating the result of the board's vote, which is binding.

If the faculty member is not tenured, s/he receives a letter of nonappointment and a terminal year appointment is tendered for the following academic year.

#### Promotion

 Promotion, as with tenure, attests that a faculty member has achieved a particular standard of performance in teaching, research, and service.

A faculty member desiring promotion must have his/her eligibility certified by the Provost, must make formal application for promotion, and must compile a dossier of materials in support of his/her application.

For details about the promotion procedure, <u>see "Procedures"</u> See also the pertinent section of this manual for information about the categories and kinds of evidence that may be submitted in support of a promotion application.

#### **Generic Calendar**

The Personnel Committee's dates for considering faculty members for promotion are the same as those for tenure and are based on the university-established deadlines. Consult the complete updated Promotion and Tenure and Yearly Performance Review Calendar published by the Provost at <a href="https://www.missouristate.edu/Provost/tenureandpromotion.htm">https://www.missouristate.edu/Provost/tenureandpromotion.htm</a>

#### **Procedures**

Procedures for promotion are nearly identical to tenure procedures. Once the Personnel Committee establishes the departmental deadlines, the chair notifies each eligible faculty member of both the university deadlines and the Personnel Committee date for deliberation. The committee meets at the appointed time, deliberates, and votes by ballot.

 A copy of the recommendation, including the names of members voting, is given to the faculty member and to the Department Head. This written recommendation is included with all subsequent recommendations through all the channels. The Department Head makes his/her recommendation and forwards it to the Dean, who makes his/her own and forwards it to the Provost gives his/her recommendation to the President.

In all cases where a recommendation differs from that of the Personnel Committee, the administrator who differs is required to notify in writing the faculty member, the Personnel Committee, and any affected administrators of the reasons for the disagreement. At each step along the way, the faculty member may submit additional information or challenge a recommendation. If the faculty member chooses to submit additional information, s/he must so inform the Personnel Committee.

#### **Materials Used**

Materials used for promotion include materials supplied by the faculty member and departmental data. Faculty members are required to submit a dossier summarizing their activities in teaching, research, and service, and they should take great care in preparing the dossier. Part of the Personnel Committee's role is to help faculty members submit the best possible dossiers in support of their applications. See <u>Appendix C</u> as well as and the Reynolds College of Arts and Letters <u>Tenure, Promotion and Annual Evaluation Guidelines</u> for contents of the dossier.

# What Happens Next

The faculty member has the right to submit additional information on his/her behalf at any step of the promotion process and to challenge recommendations made at any level. A formal appeal of a promotion

recommendation may be made through the university's grievance process, which is described in section 4.6.6.3 of the *Faculty Handbook*.

The final decision on promotion is made by the Board of Governors. The faculty member receives a letter indicating the result of the board's vote, which is binding. Faculty members who are not promoted may apply in subsequent years.

# GUIDELINES FOR FACULTY EVALUATION: ANNUAL APPOINTMENT, TENURE, PROMOTION, AND PRE-TENURE REVIEW

# General Philosophy

#### Introduction

The general policy of the Department of Communication is that faculty performance evaluation is guided by two overriding standards: academic achievement and professionalism.

In keeping with the <u>Faculty Handbook</u> (see section 1.1.3.2), the college affirms the value of a variety of types of scholarship.

#### **Academic Achievement**

Like all members of the faculty at Missouri State University, the Communication faculty is charged with demonstrating academic achievement in teaching, scholarship/creativity and service. However, given the nature and mission of the university and this department, demonstrating academic achievement in these areas is differentially significant.

#### **Teaching**

Demonstrating competence in teaching is primary. Because the first mission of the Communication Department is to provide an excellent educational experience for its major and non-major undergraduate students as well as to graduate students, faculty contributions to this goal carry the greatest significance.

#### Research

Research is central to teaching excellence. Department faculty are members of a larger intellectual and artistic community. In this respect, their contributions in basic and applied research are important, and central to performing as genuine teacher/scholars.

#### Service

Because Communication faculty are members of an academic department within a publicly supported state institution, their contributions in the service of university governance, community relations, and the goals of professional associations in the field are also important. Communication faculty members belong to an academic department in a publicly-assisted state university with a statewide mission in Public Affairs. Because of the university's emphasis on citizenship, social responsibility, and public involvement, as well as student learning, inclusive excellence, and institutional impact, service activities to the department, college, university, profession, and public communities take on special significance.

Because of the overriding educational mission of the department, those service activities which are intertwined with instruction and scholarship are especially valued.

#### **Professionalism**

 Achieving the goals of the department depends both on the academic competence of its faculty and the professionalism exhibited by its members. Like all faculty members at Missouri State University, the Communication faculty is a body of professional colleagues and co-workers. The contributions members of this department make both in maintaining high standards of professional behavior and sustaining working relationships which support the educational, scholarly/artistic and service effectiveness of the department are valued. Professionalism is, after demonstrated academic achievement, an important determinant in annual appointment, tenure, promotion, and pre-tenure review decisions. Positive acts of professionalism can be reported under the evaluative categories described in this document.

# Policies and Standards for Annual Evaluation of Tenure Progress, Tenure, and Promotion

#### Introduction

This section describes the guidelines that apply to annual evaluation of tenure progress, tenure, and promotion in the Department of Communication. It also stipulates the standards important to these personnel actions. The criteria used in assessing the achievement of these standards are listed under "Procedures and Criteria for Annual Evaluation, Tenure, and Promotion."

# **Faculty Annual Evaluation of Progress toward Tenure**

#### Policies on Annual Evaluation

Guidelines on annual evaluation differ for ranked and unranked faculty members.

#### Ranked Faculty

All untenured, ranked members of this department are evaluated for appointment purposes on an annual basis (*Faculty Handbook*, section 4.6.3). Repeated notice of appointment does not assure tenure or promotion; the standards for tenure or promotion differ from those for annual appointment. Conditions of appointment may be established at the time of initial appointment (*Faculty Handbook*, section 3.2.2).

#### **Unranked Faculty**

Members of the department who serve as instructors, visiting professors, and adjunct or per course faculty are given term contracts which automatically conclude after a semester or a year. Instructors may be reappointed upon successful performance. Their work will be reviewed each spring by the Director of the Basic Course or other appropriate faculty members who will make a recommendation for appointment to the Department Head.

# Policies on Non-Appointment

The policies and conditions associated with the non-appointment of ranked faculty are described in the *Faculty Handbook* (section 3.10).

## Standards for Annual Evaluation of Progress

The minimum university requirements are stipulated in the *Faculty Handbook* for the ranks of instructor (section 3.5.1), Assistant Professor (section 3.3.1), Associate Professor (section 3.3.2), Professor (section 3.3.3). However, the primary determinant for annual appointment in the Department of Communication is demonstrated effectiveness as a teacher. Beyond that, the faculty member should exhibit: activity in research, on-going service participation, and conduct becoming a professional, responsible colleague. For annual appointment purposes, evaluation of performance in teaching, research, and service is based on the procedures and criteria stipulated in this document under "Procedures and Criteria for Annual Evaluation, Tenure, and Promotion."

#### **Tenure**

#### Policies on Tenure

Communication faculty members who wish tenure in the department must make an application. The *Faculty Handbook* states the university guidelines which govern tenure (section 3.7), evaluation (section 4.2.), teaching (section 4.2.1), research (section 4.2.2), service (section 4.2.3) and appeal of tenure decision (section 4.7.2). These policies apply to ranked members of the Communication faculty.

#### Standards for Tenure

The general criteria described in the <u>Faculty Handbook</u> (3.7.2) apply to tenure decisions in this department. More specifically, however, the following standards apply to tenure applications in Communication and in the following ranks:

#### Assistant Professor

The application of Communication faculty who are eligible for tenure at the rank of Assistant Professor (*Faculty Handbook*, section 3.3.1) will be assessed by the following standards: a strong record of teaching effectiveness, the completion of peer-reviewed research, work in progress which promises significant future achievements in research, service contributions of significance, and demonstrated professionalism. The applicant's record in teaching, research, and service will be evaluated by the criteria stipulated in the section "Procedures and Criteria for Annual Evaluation, Tenure, and Promotion."

#### Associate Professor

The applications of Communication faculty who are eligible for tenure at the rank of Associate Professor (*Faculty Handbook*, 3.3.2) will be assessed in the following way: The standards employed in awarding tenure are the same as those for promotion to the rank of associate. The applicant's record in teaching, research, and service will be evaluated as per the criteria stipulated under "Procedures and Criteria for Annual Evaluation, Tenure, and Promotion."

#### Professor

The application of Communication faculty who are eligible for tenure at the rank of Professor (*Faculty Handbook*, section 3.3.3) will be assessed in the following way: The standards employed in awarding tenure are the same as those for promotion to the rank of Professor. The applicant's record in teaching,

research, and service will be evaluated by the criteria stipulated under <u>"Procedures and Criteria for Annual Evaluation, Tenure, and Promotion."</u>

#### Standards for Early Tenure

A faculty member may apply for early Tenure and Promotion upon securing approval from the Department Personnel Committee Chair and the Department Head. Early tenure is reserved for those rare cases when a faculty member meets departmental service criteria for tenure and demonstrates exceptional achievement in both research and teaching. Simply meeting the departmental requirements necessary for tenure in each category in a shorter period of time is insufficient to justify early tenure. Early tenure is atypical, rare and reserved for those faculty who are extraordinary researchers and teachers. Exceptional teaching accomplishments beyond the minimum criteria for early tenure and promotion include some combination of evidence of sustained excellence in teaching: student numerical evaluations that place the faculty member in the top 5% of all faculty in the department for at least four semesters; teaching awards at the college or university level; external recognitions of teaching accomplishments from state, regional, or national organizations; a significant contribution to the graduate program; or major curricular initiatives (e.g. successful new program proposals). Exceptional research accomplishments beyond the minimum criteria for early tenure and promotion include publishing a scholarly monograph or publishing an additional peer-reviewed article or book chapter, bringing the total to at least six publications (at least three of which are sole-authored and at least two of which appear in one of the national journals sponsored by the National Communication Association). Anyone applying for early tenure who is denied early tenure may apply for tenure without prejudice.

Early Promotion to Professor: Associate Professors are eligible to apply for promotion after five years of academic service to Missouri State University in the rank of Associate Professor. Individuals with exceptional records of accomplishments may be granted early promotion. If early promotion is denied, the individual may apply for promotion to Professor without prejudice.

#### **Promotion**

#### Policies on Promotion

Members of the Communication faculty who wish promotion must make an application. The *Faculty Handbook* provides the university guidelines that govern promotion (section 3.8), evaluation (section 4.2), teaching (section 4.2.1), research (section 4.2.2), service (section 4.2.3) and appeal of tenure decision (section 4.7.2). These policies apply to applications for promotions in Communication faculty.

Tenured faculty members may request a pre-promotion review one or two years prior to application for promotion. This review is optional. (See *Faculty Handbook*, section 4.6.5)

#### Standards for Promotion

The general criteria presented in the <u>Faculty Handbook</u> (section 4.2) apply to promotion decisions in this department. More specifically, the following standards apply to applications for promotion in Communication to the following ranks:

Senior Instructor

For eligible members of the Communication faculty (Faculty Handbook, section 3.5.2), promotion to the rank of Senior Instructor requires a demonstrated excellence in teaching and service at Missouri State University for at least five years (see Reynolds College of Arts and Letters <u>Tenure, Promotion and Annual Evaluation Guidelines</u>). The applicant's record in teaching and service will be evaluated by the criteria stipulated in section 3.5.2.

#### Associate Professor

 For eligible members of the Communication faculty (<u>Faculty Handbook</u>, section 3.3.2) promotion to the rank of Associate Professor requires the candidate's academic achievements be exemplary. The faculty member must have a demonstrated record of continued growth and a substantial cumulative record of teaching effectiveness, significant peer-reviewed research, consistently significant service/leadership and a consistently strong record of professional conduct. The applicant's record in teaching, research/creativity, and service will be evaluated by the criteria stipulated under <u>"Procedures and Criteria for Annual Evaluation, Tenure, and Promotion."</u>

#### Professor

For eligible members of the Communication faculty (<u>Faculty Handbook</u>, section 3.3.3) promotion to the rank of Professor requires the candidate's academic achievements be exemplary. The faculty member must be in rank of Associate Professor for a minimum of five years and he/she must have a demonstrated record of continued growth and a substantial cumulative record of teaching effectiveness, significant peer-reviewed research, consistently significant service/leadership and a consistently strong record of professional conduct. The applicant's record in teaching, research/creativity, and service will be evaluated by the criteria stipulated under "Procedures and Criteria for Annual Evaluation, Tenure, and Promotion."

# Procedures and Criteria for Annual Evaluation, Tenure, and Promotion

#### Introduction

This section reports the procedures and criteria used for assessing academic achievement (in teaching, scholarship/creativity, service) in annual evaluation of tenure progress, tenure, and promotion.

#### **Procedures**

Decision-making and recommendations regarding annual evaluation of tenure progress and applications for tenure or promotion shall be consistent with the procedures stipulated in the <u>Faculty Handbook</u>, section 4. The procedures used to appeal evaluation recommendations are also described by the <u>Faculty Handbook</u>, sections 4.7.2. Further, the committee personnel include the guidelines below for interpreting yearly evaluations from the committee.

#### **Evaluation Process for Tenure and Promotion**

A yearly evaluation of a faculty member for tenure/promotion is informed by the collection of reviews in teaching, research, and service beginning with the first year. Each year of improvement or decline should be noted and explained as satisfactory progress, questionable progress, or unsatisfactory progress. These evaluations are indicators to the faculty member of how they are doing at the time of review and are evaluated within the context of that particular year. A questionable or unsatisfactory rating in one year or one area must be followed with specific suggestions for improvement based on criteria stated RTP guidelines for each area. Those suggestions will also be evaluated in the following review.

#### Scoring Process for Tenure and Promotion

It is not necessary to earn a satisfactory rating in all three areas in order to receive a recommendation for reappointment. However, it is anticipated that the faculty member's collective of reviews should progress towards a favorable summative review at the time of tenure/promotion application. In sum, faculty members will receive guidance for improvement in one, two, or three areas as the form indicates. Improvement in these areas should be evaluated in the following year. At the point when promotion or tenure is considered (in the year negotiated by contract upon hire), the cumulative evaluation judgment must be made with the previous years in context to gauge improvement, change, and success in each case.

#### Summative Evaluation for Tenure and Promotion

One negative review will not negate the possibility of tenure/promotion at the time of review. Furthermore, the review of the candidate for promotion/tenure must be considered in whole and not in part. While unsatisfactory or questionable marks might draw attention, if they have been rectified or remedied as per the instruction given, they should not negate the possibility of tenure or tenure with promotion or promotion.

#### Criteria

 To insure equitable and consistent decision-making in annual evaluation of tenure progress, tenure, and promotion cases, the criteria listed below will serve as indicators of achieving the standards (for annual appointment, tenure, and promotion) stipulated in the section "Committee Procedures Regarding Personnel Actions." These indicators may be used by applicants to establish evidence of effectiveness in teaching, scholarship/creativity, and service.

#### **Teaching**

Because teaching is by nature an interactive process, it is difficult to assess. Nevertheless, because effective teaching is critical to achieving the department's primary mission, and because the evaluation of teaching is presumed to be instrumental in sustaining quality instruction, combinations of the following may serve as evidence of teaching effectiveness. According to the *Faculty Handbook* section 4.2.1.2.5 student evaluations "should account for no more than 50% of the total evaluation of teaching effectiveness." Rather student evaluations shall be used in combination with items submitted, such as course materials, peer review of teaching, developed curriculum materials, outcome measures of effectiveness, student learning outcomes, and/or contributions to the department. It is the responsibility of the applicant to make the best case possible to demonstrate excellence in teaching effectiveness. In doing so the applicant must show strong teaching in multiple categories below:

#### Teaching Evaluations

One measure of teaching excellence are numerical teaching evaluations that are at or above the departmental mean. The department requires each faculty member to administer student course/teacher evaluations for each course/section he or she teaches. The results of these evaluations, including the written comments of students, may be employed as evidence of teaching effectiveness.

#### Peer and Self-evaluation

The results of peer evaluation and self-evaluation of teaching are required.

840	
841	Unsolicited Letters
842	
843 844 845	Unsolicited letters from former students, colleagues in the department, in other university departments, from colleagues at other universities, and other professional associates able to comment objectively on teaching effectiveness.
846 847	Produced Teaching Resources
848	
849 850 851	Textbooks, workbooks, anthologies, and other teaching resources produced for use in courses taught by this department.
852 853	Course and Curricula Development, Innovation, and Contributions
854 855 856	Evidence of new-course development, instructional innovations, and contribution to curricula or program change.
857 858	Superior Student Work
859 860 861 862 863	Evidence of effective indirect instruction resulting in superior student work, including such activities as advising student organizations, supervising student organizations or student media, supervising student productions, directing theses and seminar papers, serving on thesis committees, and directing independent study projects.
864 865	Advisement Activities
866 867 868 869	Descriptions of student advisement activities, of special departmental assignments related to student advisement, of special services to advisees, (petitions, letters of support, etc.), of contributions toward improved advisement.
870 871	Invited Lectures
872 873 874	Descriptions/evaluations of invited lectures in other than assigned departmental courses, in courses offered through other departments.
875 876	Awards
877 878	Recognition of teaching effectiveness through awards or other forms professional recognition.
879 880	Student Learning Outcomes
881 882	Descriptions of student learning outcomes.
883 884	Other Evidence
885 886 887	Other forms of evidence of teaching effectiveness acceptable to the department as negotiated with an appropriate departmental representative.
888	Research

- 889 Research in the Department of Communication is defined as consistent with the *Faculty Handbook*
- 890 (4.2.2.1). The process of Research is understood as the production and formal communication of original
- creative, scholarly work, and, while the definitions of "scholarly" and "creative" may differ across
- academic disciplines, the process is understood to support the university's general mission in all three
- fundamental areas of faculty responsibility: Teaching, Research, and Service. Research both advances
- 894 knowledge in a particular specialized academic field and encourages individual faculty development; it
- enhances the quality of education students receive. It also helps fulfill the university's Service obligation
- 896 by contributing to the public welfare.
- The university recognizes a broad spectrum of activities in the area of Research due to the diversity and
- 898 uniqueness of academic fields. Herein, Research will be defined as the production and formal
- 899 communication of creative, scholarly works. The nature of Research varies widely among different
- academic disciplines, but generally refers to the discovery, refinement, evaluation, and synthesis of
- information, the application of specialized knowledge to the solution of problems, and artistic activity. To
- 902 qualify as Research, activities must produce outcomes that are disseminated and subjected to critical peer
- 903 review or evaluation by the scholarly community, and those outcomes should serve the growth of
- knowledge in a field or be of significant practical use.
- The Department of Communication recognizes and values scholarship and creative work that reflects a
- 906 wide array of aims and purposes. In that spirit, we acknowledge the Boyer model of scholarship, which
- delineates among (1) the scholarship of discovery; (2) the scholarship of integration; (3) the scholarship
- of application; and (4) the scholarship of teaching and learning. Faculty are encouraged to pursue
- scholarship that fulfills any of these aims and in any proportion, though they are encouraged to be explicit
- and reflective about how their individual scholarly works fit into one of these categories.
- 911 Specific modes of Research include:
- Discovery: gaining knowledge of or ascertaining the existence of something previously unknown or unrecognized;
- Application: using established knowledge to solve significant problems;
- Synthesis: bringing knowledge together from disparate sources to produce a whole work that is greater than the sum of its parts;
- Criticism: using established values (aesthetic, logical, ethical) to evaluate quality of artifacts (e.g., art, legal decisions, news media);
- Creation: production of unique forms of expression, generation of new interpretations, theorybuilding, model-building, and performance.
- These modes of Research should be considered of equal weight and importance in the faculty evaluation process.
- 923 Goals and Criteria for Evaluating Research

924

- In the Department of Communication, goals for evaluating research are consistent with the *Faculty*
- 926 <u>Handbook</u> (4.2.2.2). The following goals and criteria are the basis of evaluating faculty members'
- 927 Research for tenure and promotion and for required performance reviews. Item 1 below is of paramount
- 928 importance on this list, and any faculty member, in order to succeed in the area of Research at Missouri
- 929 State University and attain tenure and promotions, must succeed in item 1. Although items 2, 3, and 4 are
- 930 not individually prescriptive, they are inclusive of Research and may be considered. Success in one or

more of these areas (2-4) is required to attain tenure and promotion from Assistant Professor to Associate Professor. Sustained success in one or more of these areas is required for promotion from Associate Professor to Professor.

1. Expand Knowledge and/ or Demonstrate Growth in Area of Expertise

Faculty members meet this goal if they have engaged in sufficient quantity and quality of peer-reviewed Research in any of the five modes of scholarship appropriate to their field as defined by the Department of Communication. The scholarship of teaching and learning is included here because any department may have faculty members who either specialize in education within their discipline, or who do Research in this area because it is important to their academic field or part of their assignment by the department. This Research content area should be fully recognized and evaluated according to the standards of one of the five modes of Research.

2. Application of Research to Benefit University Constituents

The criterion for this goal refers to the application of Research to solving problems or addressing situations significant to the public that require professional expertise.

3. Transmission

The criterion for this goal refers to transmission of Research product beyond that required for peer review in one's field. Faculty members meet this goal if they can document accomplishments in sharing knowledge and creative work with a broader audience.

4. Involvement of Students

Research is of added value in the university mission if the work involves students, either undergraduate or graduate, as active participants in the research process.

Descriptions of Research

The Department of Communication recognizes research as the criteria described in the following list. The defining characteristic of quality scholarship is peer review in a form that is appropriate to the scholarship and publication outlet. In most cases peer review will resemble the traditional model associated with major journals in the discipline of Communication. In other cases, peer review may take a non-traditional approach that is equally relevant and appropriate to a piece of scholarship. In such cases it is incumbent on the applicant to provide sufficient information about the peer review process used to support a positive judgment of the work in question. Additionally, the research must reflect a programmatic focus that is consistent with the author's teaching and research interests and must reflect a sustained effort over time.

#### Primary Research

*Journal Publications* - Journal publications may be in any combination of state regional, national and international journals that are appropriate for the subject matter in question and a majority of which are recognized by the communication discipline.

*Academic Books* - Academic books written from a framework of communication scholarship and published with a selective and reputable press. Acting as editor of an academic book in a reputable outlet

may also count in this category. In some cases, academic books may count as two (2) publications. In such cases it is always incumbent on the applicant to make the best case for how their work should be counted.

*First Edition Textbooks* - First editions of textbooks written from a framework of communication scholarship and published with a selective and reputable press.

*Grants* - Substantial external, competitively selected grants. It is incumbent on the applicant to make the best case for the substantiality of their work.

Long-form Book Reviews - Long-form book review essays (6000 words or more) that cover multiple academic books.

*Invited Publications* - Invited publications in either journals or edited academic books. In such cases it is incumbent upon the applicant to make the case that such scholarship is appropriate, relevant and of sufficient quality to contribute to the record.

Additional Forms of Research - Additional forms of research not included in this list may also be considered as "primary." When included, it is incumbent on the applicant to make the best case for how their work should be assessed.

#### Supporting Research

Although traditional forms of discipline specific scholarship are sufficient, other forms of scholarship and non-traditional outlets of publication are valued and can contribute to a record that is judged minimally sufficient. Supporting research includes such things as:

*Technical Reports* - Technical reports that are reviewed and accepted by representatives of a particular organization (profit or non-profit).

*Short-form Book Reviews* - Short-form book reviews (under 6000 words) published in reputable peer reviewed academic journals.

*Encyclopedia Entries* - Editorially selected encyclopedia entries published in academic encyclopedias (e.g., *The Encyclopedia of International Communication*).

*Critical Reviews* - Published critical reviews of scholarly projects (e.g., reviews of academic essays, performances, etc.).

Conference Papers - Competitively selected papers presented at state, regional, and national and international academic conferences.

*Subsequent Editions of Textbooks* - Subsequent editions of textbooks written from a framework of communication scholarship and published with a selective and reputable press.

Additional Forms of Research - Additional forms of research not included in this list may also be considered as "supporting." It is incumbent on the applicant to make the best case for how their work should be assessed.

Engaged Public Scholarship

In line with the Faculty Handbook (4.2.2.3), the Department of Communication recognizes that engaged public scholarship is research and may be included in a faculty member's tenure and promotion application. This scholarly activity should involve a partnership with the public and/or private sector that enriches knowledge, addresses and helps solve critical societal issues, and contributes to the public good. Engaged public scholarship includes research focused on civic participation in public life, participation by engaged scholars, and the impact of public scholarship on all constituencies. The Department of Communication faculty recognize it is as a form of applied communication research and important to being an engaged scholar. Projects that advance engaged public scholarship must be subjected to critical academic peer review or should include input from a rigorous review conducted by involved community partners who collaborated with the public scholar. This input must assess the significance of the project, the quality of the relationship, and the impact on public good. In order to meet these requirements, the applicant should be able to produce: evidence of the use of communication scholarship as a focus of the overall goal, a clear partnership showing evidence of the applicant as a primary individual of the engagement, a list of included works and resources used to contribute towards goals, a letter of support, or supporting document, stating the impact of the overall goal to the community. As with other types of scholarship, it is incumbent upon the applicant to make the best case for how their work should be assessed.

#### Tenure in the Area of Research

A minimum of five (5) peer reviewed publications while at Missouri State University, one of which must be solo-authored and another of which must be solo-authored or primary-authored. Acceptable forms of research that meet these criteria are described in the section "Primary Research." Supporting research and engaged public scholarship may also be considered as part of the applicant's-record (see the section "Supporting Research"). Should supporting research and engaged public scholarship be applied in some number toward the minimum count of publications, it is incumbent on the applicant to make the best case for how their work should be assessed.

#### Promotion in the Area of Research

Associate Professor - A minimum of five (5) peer reviewed publications while at Missouri State University, one of which must be solo-authored and another of which must be solo-authored or primary-authored. Acceptable forms of research that meet these criteria are described in "Primary Research." A maximum of one external grant (as defined in the section "Grants") may count as one (1) of the five peer reviewed publications. Supporting research and engaged public scholarship may also be considered as part of the applicant's-record (see the sections "Supporting Research" and "Engaged Public Scholarship"). The minimum number of publications described above is required for tenure and promotion to associate professor. An applicant may (also) want to highlight particularly strong supporting research and/or engage public scholarship to enhance the overall strength of their work and scholarship. It is always incumbent on the applicant to make the best case for the quality of their work and how it should be accessed.

Professor - In the area of scholarship, the faculty member must have an additional five (5) peer reviewed publications beyond what is required for tenure and promotion to Associate Professor for a minimum of (10) total publications and may be a combination of solo and co-authored works (see the section "Primary Research"). A maximum of one external grant (as defined in the section "Grants") may count as one (1) of the five **peer reviewed** publications. Supporting research and engaged public scholarship may also be considered as part of the applicant's-record (see the sections "Supporting Research" and "Engaged Public Scholarship"). The minimum number of publications described above is required for tenure and promotion to professor. An applicant may (also) want to highlight particularly strong supporting research and/or engage public scholarship to enhance the overall strength of their work and scholarship. It is

always incumbent on the applicant to make the best case for the quality of their work and how it should be accessed

#### Service

The service activities of department members may take many forms, ranging from holding positions of leadership in professional associations to participating in professional associations to participating in university governance to providing student services to advising/consulting institutions or agencies at the international, national, state, regional, or local level. It is also understood that while some service activities represent instances of genuine leadership, others produce significant results through joint effort; some require extensive investments of discretionary time while others are compensated by release from teaching or other duties. It is the responsibility of the applicant to make the best case possible to demonstrate excellence in service. In doing so the applicant must show strong service in multiple categories below:

#### Association Roles

Holding office or performing functions (editor, manuscript referee, pre-publication reviewer, panel critic, etc.) on behalf of international, national, or regional associations and professional organizations.

# Conference/Colloquia Organizing

Organizing state or regional professional or research conferences, local or departmental colloquia.

#### Chairing Faculty Committees

Chairing faculty committees at the university, college, or departmental level.

1109 Serving on Committees

Serving as an active, productive member of university, college, or departmental committees.

1113 Outside Consulting

Serving as a consultant to business, not-for-profit organizations, or other universities.

Adjudicating Media or Forensic Competitions

1119 Serving as an adjudicator at media or forensic competition.

1121 Providing Departmental Administrative Services

Providing administrative services to the department as Director of Basic Courses, Director of Internships,

1124 Director of Graduate Studies, Director of Forensics, Director of the Center for Dispute Resolution,

1125 Assistant Basic Course Director, Assistant Director of Forensics, or Assistant Department Head.

#### Professional Service to Outside Groups

1129 Delivering invited lectures, conducting developmental workshops, or providing other professional

services to business, institutions, associations, or not-for-profit organizations.

1132 Other Professional Service

Providing other professional services deemed significant by the department as negotiated with an appropriate departmental representative.

## **Professionalism**

As indicated in the section "General Philosophy," the evaluation of Communication faculty focuses on professionalism as well as on evidence of academic achievement in teaching, scholarship/creativity, and service. It is understood that professionalism is evidenced in at least two important ways: practicing/maintaining high standards of professional ethics and performing as a responsible member of the Communication faculty. The following guidelines will govern faculty evaluation with respect to professionalism:

#### Professional Ethics

The department endorses the standards of professional ethics stipulated in section 3.1.1 of the *Faculty Handbook*.

# Responsible Membership

As colleagues in an academic department, members of the Communication faculty must never seriously hamper inquiry or the academic freedom of each other, or their students. They must also strive to be objective, fair-minded, and open-handed in their assessment of colleagues and in their association with students. But, members of the Communication faculty are not just academic colleagues; they are also coworkers in a mutually-dependent endeavor. Hence, they must consistently accept their appropriate share of responsibilities in student advisement, teaching loads, group decision-making, and departmental administration; they need to share limited resources, be dependably available to students, and assist one another in creative or scholarly pursuits. The goals of this department are achieved by dedicated academic colleagues and responsible co-workers.

#### Determination of Professionalism

Professionalism should be presumed to exist in all ranked faculty until and unless evidence of a violation of these standards is produced. If and when violations of these standards take place, they must be supported with evidence. For this reason, applicants for annual appointment, tenure, and promotion need not submit material to support a claim to professionalism per se. If one wishes to raise a charge of lack of professionalism and have it considered by the Personnel Committee, the person making the charge will put it in writing, send it to the Department Head, to the chair of the Personnel Committee (at the discretion of the Department Head), and to the person being charged.

# Procedures and Deliberations of the Personnel Committee

#### Introduction

These guidelines supplement those established by the university and articulated in the *Faculty Handbook*.

The Department Personnel Committee is charged with recommending to the Department Head on faculty appointment, tenure, promotion, and pre-tenure review. The Personnel Committee is composed of all tenured members of the faculty (*Faculty Handbook*, section 4.8.3).

#### Work Calendar

By September 15th of each year, the Personnel Committee will announce to all faculty members the dates on which they should submit material in support of annual appointment action, or in support of applications for tenure, promotion, or pre-tenure review. These dates will be consistent with the Promotion and Tenure and Yearly Performance Review Calendar prepared and distributed by the Provost and published at <a href="https://www.missouristate.edu/provost/tenureandpromotion.htm">https://www.missouristate.edu/provost/tenureandpromotion.htm</a>. The process of evaluating a probationary faculty member for tenure and/or promotion in January serves as the annual evaluation of that faculty member by the Personnel Committee and the head.

Each faculty member to be considered for annual appointment will be notified individually of the date on which he/she will be considered by the Committee.

#### **Submitted Information**

Faculty members under evaluation for annual appointment, tenure, promotion, or pre-tenure review should submit to the Committee information about their work in the areas of teaching, scholarship/creativity, and service. The types of information relevant to annual appointment, tenure, promotion or pre-tenure review are described in the section "Procedures and Criteria for Annual Evaluation, Tenure, and Promotion."

# **Confidentiality**

Since they focus on personnel actions, the deliberations of the Committee are necessarily confidential. Material which must remain confidential by law, university regulation, or sound personnel procedure includes that information contained in documents submitted by individuals under evaluation, departmental data (like student/teacher evaluation results), and what is said in Committee meetings about applications for personnel actions. (The full text of a Statement on Confidentiality approved by the Committee is included in these guidelines as Appendix A).

## **Applicants Meeting with the Committee**

A faculty member under consideration for appointment, tenure, promotion or pre-tenure review may request a meeting with the Committee, or the Committee may request a meeting with the individual. Such meeting shall only be for the purpose of seeking information; they are neither prejudicial to a particular case, nor occasions for adversarial exchange.

#### **Input by Colleagues**

In matters of annual appointment, tenure, promotion, and pre-tenure review, the Director of Basic courses and the Director of Forensics will be asked for their evaluation of the demonstrated academic achievement of faculty whom they directly supervise.

# **Committee Voting**

Members of the Personnel Committee possess the right to vote on matters of appointment, tenure, promotion, and pre-tenure review, irrespective of the extent of their direct participation in Committee deliberations on a particular action. When an applicant is being considered for promotion, only those tenured faculty who hold a rank equal to or above the rank for which the candidate is being considered

shall participate in the decision-making process. Voting on appointment, tenure, promotion, and pretenure review recommendations shall be by ballot. The results of balloting on personnel actions shall be announced to members voting following tabulation, with the names of those voting for, against or abstaining included in the announcement. The reasons for individual votes written by individual Committee members will be preserved and filed with the Chair of the Committee. While the disposition of Committee voting on annual appointment, tenure, promotion, and pre-tenure review will be reported only to the Department Head and the individual affected, voting results on non-confidential matters before the Committee will be reported to the full faculty.

# **Committee Reports/Recommendations**

The personnel recommendations of the Committee to the Department Head shall consist of a consensus or majority report and, when necessary, a minority report. The number of those who voted in the majority and in the minority shall be included in the recommendation. If a minority report is made, the number of members who join in the minority report shall be included in the recommendation. As stipulated by the *Faculty Handbook* (section 4.6.2), the Committee will forward its recommendation to the Department Head, who shall forward his/her recommendation, along with the Committee's recommendation, to the college Dean. Simultaneous with its recommendation to the Department Head, the Committee will convey a copy of its recommendation to the faculty member under evaluation. Should an applicant wish to file comments or objections regarding the Committee's recommendation, he/she may do so with the Department Head. Minutes of the Personnel Committee will be kept on file with the department office and can be available upon request. (For further description of appeal procedures, see the *Faculty Handbook*, section 4.7.2).

# Description of Annual Performance Review Process

All full-time faculty members participate in annual reviews of performance (see the *Faculty Handbook*, section 4.6.1). In the Department of Communication, faculty members complete an annual report of activity to support their performance in a given year. The report (provided by the Department Head) is submitted to the Department Head according to the date set forth by the Provost Yearly Performance Review Calendar (usually early February). This report includes one's yearly activities as well as self-ratings for performance in each category of teaching, research, and service. The Personnel Committee has developed a workload proposal to guide faculty and Department Head negotiations of annual performance ratings. This workload policy defines research active status for ranked faculty and standard teaching load equivalents for ranked and unranked faculty. This policy shall be used in interpreting faculty annual performance reports and to guide future work assignment negotiations. See Appendix B.

The annual report and self-reported ratings are submitted directly to the Department Head. The Department Head then prepares an annual performance review of that faculty in light of the report and assessment of that faculty member's work.

# Criteria for Promotion to Senior Instructor

The following represent the Department of Communication's standards for promotion to Senior Instructor. The <u>Faculty Handbook</u> provides a basic description of this rank. The expectation for promotion at this rank is typically based on a 12-hour teaching load or equivalent per semester and requires at least five years' full-time teaching experience.

There are three criteria by which candidates will be evaluated: 1) Evidence of successful student learning outcomes; 2) Evidence of the use of effective teaching modalities; and 3) Evidence of leadership in

curriculum development, advising, or other appropriate university service. Candidates should provide evidence of excellence in each category, but candidates do not need to provide evidence for each example listed within. The following demonstrates possible options an instructor can use to prove excellence in the three categories for evaluation. A.) Evidence of successful student learning outcomes includes the abilities, knowledge, values and attitudes students who complete a course or graduate from a program are expected to have. Evidence of successful learning outcomes requires articulation of what these expectations are as well as measurement of the extent to which expectations are met. 

#### *Required:*

A minimum of three different course assignments including assessment criteria or rubrics and the feedback provided to the students.

- Provide a minimum of two student examples for each assignment with instructor feedback
- Demonstrate course objectives by providing copies of all course syllabi.

Evidence of successful student learning outcomes may also include:

- Pre-and post-evaluations (if available) of student learning to demonstrate an increase in knowledge and skills taught in a specific content area
- Student awards (department, college, university, etc.) with the assistance of the faculty member
- Other evidence of student success may be included and explained.
- **B.)** Evidence of the use of effective teaching modalities includes the ability to adapt teaching methods to the needs of students; incorporating feedback into teaching methods; using instructional tools and technologies appropriately; addressing multiple learning styles; incorporating cognitive, behavioral and affective learning goals; and incorporating the public affairs mission.

#### Required:

- There are many ways to evidence of teaching effectiveness. We encourage reflective practice of teaching, thus student, peer, and self-evaluation are expected.
  - Student teaching evaluations which demonstrate excellence in teaching, generally defined as ones mean scores as being at or above the departmental means (or those for all online courses).
  - A summary of all available student evaluations, semester by semester, for each semester taught over the prior five or more academic years, indicating sustained excellence in teaching. This includes summaries of the numerical and narrative student evaluations. (Candidates will provide all available student evaluations upon request during the application process.)
  - Analysis of evaluation data is required for outliers
- Peer evaluations of teaching from at least one member of the Department of Communication faculty. Peer/colleague evaluation based on class observation and changes noted in teaching and learning based on that feedback. Self-reflection to include discussion of all the data points and how adjustments have been made to courses and practice.

1332	
1333	Evidence can include items from the list below:
1334	
1335	Development of new materials or significant innovation used in course delivery  The significant innovation used in course delivery
1336	• The incorporation of service learning or practical projects into courses
1337	Descriptions and examples of effective instructional technologies used to present
1338	concepts and to facilitate class organization, activities, and discussions
1339	Evidence of successful grant proposals and funding to enhance teaching
1340	Participation in the development and offering of Study Away programs
1341	• Evidence of involvement with and effectiveness in interdisciplinary courses or
1342	approaches to teaching
1343	Successful implementation of teaching techniques from a variety of sources and
1344	development opportunities.
1345	• Presentation(s) at a formal faculty teaching development opportunity (e.g., ADC
1346	workshop, Showcase on Teaching, external conference related to teaching)
1347	Teaching courses online or via distance education
1348	<ul> <li>Evidence of consistent participation and involvement in student development or</li> </ul>
1349	recruitment events
1350	<ul> <li>Receiving teaching awards or honors (on the local (department/college/university),</li> </ul>
1351	regional or national level)
1352	<ul> <li>Invitations to teach to external audiences based on reputation or professional</li> </ul>
1353	expertise
1354	• Evidence of effective training and supervision of teaching and graduate assistants
1355	over the course of the evaluation period
1356	
1357	C.) Evidence of leadership in curriculum development, advising or other appropriate university
1358	service includes the willingness and ability to make significant contributions to one's courses and
1359	to the department, college, university and community. Evidence can include:
1360	
1361	• Development of a new course
1362	• Evidence of commitment to official department academic advising duties, including
1363	lists of advisees
1364	• Effective management or coordination of programs within the department
1365	• Service to the university in the form of consistent, active participation in
1366	departmental, Reynolds College of Arts and Letters, and university committees
1367	Evidence of effective advising to student organizations
1368	• Evidence of organizing events, conferences, or other activities that contributes to the
1369	Missouri State University community
1370	<ul> <li>Participation in community service related to the mission of the university or</li> </ul>
1371	teaching duties
1372	Serving as a mentor to a graduate assistant
1373	• Engagement in professional activities at national, regional, and/or state levels (e.g.,
1374	NCA, review papers for conferences or serve on association committees)
1375	<ul> <li>Holding an office or participate in active service to a professional committee in</li> </ul>
1376	national, regional, or state organization in the area of expertise
1377	<ul> <li>Receipt of awards or honors based on service to a community or professional</li> </ul>
1378	<ul> <li>Receipt of awards or honors based on service to a community or professional organization in an area of professional expertise</li> </ul>
1378 1379	organization in an area of professional expertise
1378 1379 1380	organization in an area of professional expertise  Note: Although not required, publication or conference presentation may strengthen a
1378 1379	organization in an area of professional expertise

1383	excellent teachers and provide excellent service. However, it is possible that some
1384	instructors choose to engage in research activities, and these activities can serve as
1385	evidence for promotion to Senior Instructor. Consult with a member of the Personnel
1386	Committee for strategies to include research activity in the dossier and refer to the
1387	Reynolds College of Arts and Letters Promotion Guidelines for Senior Instructors for
1388	detailed instructions on providing such evidence.

# **APPENDIX A: Statement of Confidentiality**

The Personnel Committee will make public as much information about procedures, proceedings and recommendations as is consistent with university regulations, the rights of individuals, and sounds personnel policy.

Specifically, the Personnel Committee will announce through both written statement and oral report its procedures for making recommendations. Votes taken on personnel issues are not included in the minutes. The main elements of these procedures and criteria are published in the *Faculty Handbook*. Changes or additions to these policies will be made public as soon as they are agreed to and properly ratified. The Personnel Committee is also committed to fulfill the requirement imposed upon it to transmit immediately its recommendations with supporting reasons to the individual faculty members concerned.

Some aspects of the Committee's work must remain confidential. The Committee recognizes that this is unfortunate for several reasons. Any degree of secrecy contradicts the value of open communication to which most of us are committed. Secrecy may cause rumor to replace fact, and "grapevine" communication to become more important than official report. Secrecy denies to many members of the department an important sense of full knowledge about activities in their place of work. And, the demand that Committee members keep confidential certain aspects of the Committee's work places those members under the stress of not being able to discuss and debate controversial decisions with many other members of the department.

Information which must remain confidential by law, university regulation, or sound personnel procedure includes information contained in documents submitted by individuals under consideration, student evaluation results about such individuals, and what is said in committee meetings about those individuals. Consequently, members of the Personnel Committee should not:

 • Discuss with a third party an individual's record of professional performance as it relates to personnel decisions. For example, Professor X, who is on the Personnel Committee, should not discuss with Professor Y, who is not, the material submitted by Professor Z as part of his/her appointment, tenure, promotion, or pre-tenure consideration, or X should not discuss with Y the student evaluations or other departmental data of Z.

Discuss with a nonmember of the Committee what was said during committee meetings. The recommendations and supporting reasons based on such deliberation will, of course, be immediately conveyed to the individual under consideration.

Information which either the Personnel Committee received and the substance of Committee deliberations should be kept confidential in order to:

Protect the privacy of individuals. Faculty members under consideration for annual
appointment, tenure, or promotion may not wish certain documents included among their
supporting materials to be generally known, and they have a right to keep such matters from
public knowledge.

Prevent some individuals not members of the committee from having special information not given to other non-members. As we know in our discipline, knowledge is power, and information is especially powerful. Thus, "insider trading" in personnel matters is harmful to the personnel process as it is to financial markets.

• Prevent members of the Committee who adhere to a standard of prudent confidentiality from being placed at a disadvantage when assertions of fact and opinion which they would normally want to correct or dispute are circulated by other members who do not respect the

confidentiality of the Committee. Those who respect confidentiality can only murmur "no comment" while those who violate confidentiality have a much broader range of comment and influence.

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To balance the need for open communication with the need to preserve confidentiality of supporting documents and committee's deliberations, the Personnel Committee:

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- Will make available all non-confidential information (discussed in paragraph two of this statement) to all department members.
- statement) to all department members.
   Urges all non-members of the Committee to refuse to participate in discussion based on information which should be confidential. The Committee realizes that an individual may lose immediate private advantage from such conduct, but everyone benefits from preserving individual rights and effective deliberation.
  - Will take note of verified breaches of confidentiality by its members.

#### **APPENDIX B: Workload Policy**

This policy was approved on September 30, 2015 by a vote of the faculty.

 Missouri State University has implemented a workload policy, pursuant to which the standard workload for faculty is defined as 24 equated hours per academic year. Under this policy, the term "equated hours" refers "both to credit hours of teaching and to time assigned to activities that are equivalent to credit hours of teaching." Both the university and the Department of Communication recognize that expected workloads for faculty with standard appointments may involve significant responsibilities for research and service. The university's workload policy states that "research active faculty members are typically granted a three-hour reassignment per semester to promote scholarly endeavors at the university, resulting in an equated 18-hour instructional workload for an academic year."

### Standard Teaching Load for Instructors

Instructors teach 12 teaching load equivalents (TLE) per semester (24 per year) along with committee/service duties.

# Standard Teaching Load for Ranked Faculty

Ranked Faculty teach 12 teaching load equivalents (TLE) per semester (24 per year) along with committee/service duties.

Ranked Faculty who are "research-active" teach 9 (TLE) per semester (18 per year) along with committee/service duties.

# Research Active Designation

For "research active" status, a faculty member must meet two criteria. First, the faculty member must demonstrate ongoing research by documenting at least one research activity yearly as described in the Communication Department's Personnel Guidelines. Qualifying activities may include, but are not limited to: planning a research project, receiving an internal grant, collecting data for a planned project, consulting with the grants office, participation in a conference, participation in a research-oriented workshop, a work in revision, publication, etc. Second, the faculty member must demonstrate research activity by documenting, within a period of three consecutive academic years, completion of at least one tangible research product recognized in the Communication Department's Personnel Guidelines (peer-reviewed book, textbook, refereed journal article, book chapter, external grant, etc.). Research-active status is negotiated annually by the faculty member with the Department Head and approved by the Department Head.

# **Determining Annual Workload**

During the annual performance review process early each calendar year, the Department Head consults with each faculty member to determine the mix of teaching, research, and service that will constitute his or her workload for the next calendar year. The key considerations in the determination of workload are institutional parameters, the needs of the department, and the faculty member's talents and interests. Although each faculty member should have a full and fair workload, the relative amounts of teaching, research, and service may vary as faculty move through different stages in their careers.

At the same time, the needs of the department may also vary over time. The department retains the right to call upon faculty members to fulfill teaching and service roles they are qualified to fulfill, if and when a sufficient need arises. In those situations, any changes to a faculty member's agreed upon workload will be documented in writing, signed by the faculty member and included in the personnel file.

#### Administrative Reassigned Time

Faculty who hold significant administrative assignments in the Department of Communication are also eligible to receive a reduced teaching load. These assignments include: Basic Course Director, CDR Director, Forensics Director, Graduate Director, etc. The amount of reassigned time is negotiated by the faculty member with the Department Head and approved by the Department Head for the duration of the administrative assignment.

# Losing Research Active Status

If a faculty member fails to complete a qualifying research product in a three-year period, his or her research active status may be rescinded only after consultation between the Personnel Committee and the Department Head. His or her teaching load will revert to 12 equated hours per semester for at least one academic year or until he or she completes a qualifying research product.

# Other Reassigned Time

Faculty may also be eligible to receive reassigned time for reasons other than research or administration at the discretion of the Department Head. In such instances the reassigned time is negotiated between the faculty member and the Department Head and is approved by the Department Head.

#### **APPENDIX C: Contents of Application Dossier/Portfolio**

#### Dossier

Faculty cannot assume that individuals or groups evaluating their dossiers are familiar with the traditions of the discipline from which they come. After the dossier leaves the department, those who review the applications may know nothing about the faculty member's particular discipline. Therefore, it is important that faculty members make it easy for others to review their dossiers and to understand the relevance of the materials included within them. Materials do not speak for themselves; faculty members are expected to interpret their materials and make clear arguments describing the relevance and the significance of the materials. The dossiers for personnel decisions must be thoughtful compilations of materials that clearly reflect sufficient output of high quality work in the appropriate areas of professional evaluation. They should not be laundry lists of accomplishments, with fillers included simply to take up space.

# **Overview and Required Materials**

- Each ranked faculty member and instructor applying for tenure, and/or promotion must provide all elements of the dossier described as follows, in the order listed below in the Contents of dossier section.
- Each applicant for tenure and promotion to Associate Professor, Professor, or Distinguished Professor must provide at least three external letters of evaluation. (This does not apply for Senior Instructor applications.) "External" means "external to Missouri State University," not "external to the department." While each department has its own procedures for nominating and selecting external evaluators, these external reviews must be obtained according to guidelines and procedures issued by the Office of the Provost. External evaluators should possess an appropriate terminal degree and hold a rank at least equal to the rank sought by the applicant. Individuals with potential conflicts of interest, such as those having worked as collaborators or teachers with the applicant or with whom the applicant has personal relationships, typically are not acceptable as evaluators. Candidates should disclose any potential conflicts of interest to their Department Head prior to the selection of evaluators. The external evaluators do not make a recommendation about whether the dossier merits tenure or promotion at their institutions; instead, they evaluate the dossiers and provide assessments of the strengths and weaknesses of the applicant records. External reviews are not required for promotion to Senior Instructor.
  - Tenure and promotion are decided separately. Although it is possible to be granted tenure without promotion and, in rare cases (usually when a faculty member is credited for work at another institution), promotion without tenure, it is generally expected that individuals meeting requirements for promotion to Associate Professor will also have met requirements for tenure. The same dossier may be submitted in support of each action.
  - Continuing instructors who are evaluated for reappointment should follow the procedures and guidelines developed by their individual departments. Generally, their dossiers will not be required to supply all the elements listed here (although they may choose to include relevant elements from the list below and to follow the order required for ranked faculty).

# Contents of Dossier

A complete dossier should include the following, <u>in this order</u>, which is consistent with the <u>Provost's checklist</u>:

#### • Original application form

Indicate for which action(s) you are applying.

#### • Table of Contents

(Not specified in Provost's checklist but required by RCOAL)

- Matrix (Table) with departmental tenure and promotion requirements listed in Column 1 and related faculty accomplishments in Column 2. Go to Appendix D for matrix forms to be used.
- **Personal summary statement** (Provost allows 2-5 pages; RCOAL prefers 3 pages maximum). The applicant should use this opportunity to provide an overview of all aspects of his/her professional endeavors, detailing how s/he has integrated teaching, scholarly and service activities into a focused effort to support the missions of the department, college and university. This statement might also include an assessment of his/her career at the point of application and a projection of the future course of that career. The personal statement should highlight the merits of the application, conveying why the applicant deserves the desired status.
- Current curriculum vitae (in standard format):
  - 1. Name
  - 2. Education
    - Begin with most recent degree or program of study
    - Include title of dissertation, if applicable
  - 3. List teaching experience, beginning with most recent, including courses taught.
  - 4. Listing (in chronological order with most recent first) of scholarly and creative activity as deemed appropriate by the department (optional for Senior Instructor applications)
    - If multiple-authored work, list all author names (do not list "and others")
    - If work has been accepted but not yet published, list it as "in press" (not "forthcoming")
  - 5. List grants funded, honors, awards, etc.
  - 6. List departmental, college and university service assignments.
  - 7. List service in professional organizations. Indicate whether service is local, regional, or national.
  - 8. List professional and community activities relevant to the candidate's discipline or research agenda.
  - 9. List other service activities.
- Previous letters of evaluation from Personnel Committee, Department Head, Dean.
  - o If applying for tenure or first promotion, these will be all the annual progress reviews.
  - o If applying for subsequent promotion, these will be the yearly performance reviews since the previous promotion.
- External letters of review. Applicants for tenure and/or promotion to Associate Professor or full Professor must supply three external letters. Additional letters (internal and external) should not exceed three in number. Applicants for Senior Instructor should consult departmental guidelines for any specific requirements regarding letters of recommendation.
- **Guidelines at time of hire.** Provide the Departmental Tenure and Promotion Guidelines at time of hire for faculty going for tenure and/or first promotion, and at time of most recent promotion for faculty going up for further promotion.
  - The previous items are included in the Provost's checklist (other than the Table of Contents).

#### The following items are required by RCOAL:

1. One-page evaluation of teaching effectiveness and student learning outcomes along with appropriate supporting materials. Documentation should not provide an exhaustive compilation of all existing materials but rather should be thorough enough only to provide convincing evidence of one's teaching effectiveness. Examples of appropriate materials may include a statement of teaching philosophy, examples of one's use of assessment, examples of curricular development, examples of

- the integration of teaching and research, **summaries** of teaching evaluations (see sample <u>numerical</u> course evaluation summary), sample syllabi, examples of student projects which were successful, and peer evaluations.
  - 2. One-page evaluation of scholarly and creative accomplishments along with appropriate supporting materials. Documentation should not provide an exhaustive compilation of all relevant materials but rather should be only thorough enough to provide convincing evidence of one's success in the arena of scholarship and creative activity. Examples of appropriate materials may include abstracts of published works, evidence of curricular development based on research, and reviews of scholarly and creative activity. This is optional for Senior Instructor applications.
    - 3. One-page evaluation and interpretation of leadership in teaching and professional service activities along with appropriate supporting materials. Documentation should not provide an exhaustive compilation of all relevant materials, but rather should be only thorough enough to provide convincing evidence of the significance and relevance of one's service activities.
    - 4. **Discussion of work in progress** supported by relevant materials such as project description; outline; timetable; work already completed; letters from publishers, editors, meeting coordinators indicating that, for example, a book contract has been signed, a chapter in an edited compilation has been assigned, the applicant has agreed to speak on a panel at a national or regional professional meeting, etc. This is optional for Senior Instructor applications.

In addition to the items required by the college, faculty applying for promotion to **Senior Instructor** in the Department of Communication are required to include the following:

- List of courses taught/enrollments by semester
- Most updated syllabi for all courses taught
- Summary report of all available student evaluations during the past five years or more (student evaluations should be kept on hand during the evaluation process to be reviewed during the promotion evaluation process)
- Peer evaluations of teaching from at least one member of the Department of Communication faculty
- Class handouts and other curricular, advising, or professional development materials to illustrate excellence as explained in the three categories of consideration.

# Construction of Dossier

At the time of editing the current guidelines for construction of the dossier were in place. These are projected to change to an all electronic submission system in AY 2022-2023. Please consult the Office of the Provost for updates. <a href="https://www.missouristate.edu/Provost/facultyaffairs.htm">https://www.missouristate.edu/Provost/facultyaffairs.htm</a>
Please observe the following guidelines in assembling the dossier:

- Place materials in a single sturdy three-ring binder no larger than 4" in width.
- A CD or DVD may be used to store media such as artwork, music recordings, or video.
- Tabs should be used to separate and identify sections of the dossier.
- Label the <u>spine</u> and the <u>front</u> of your binder with your name, department, and the purpose of your application (e.g., tenure and promotion to Associate Professor, promotion to Senior Instructor, promotion to Professor, etc.)
- Protective page sleeves are optional.

## APPENDIX D: Matrices to be used for tenure and promotion dossiers

Matrix, Tenure & Promotion to Senior Instructor Application
Department of Communication Personnel
Committee Procedure Manual and
Evaluation Guidelines (Revised November 2021)

Candidates should provide evidence of excellence in each category, but candidates do not need to provide evidence for each example listed within. The following demonstrates possible options an instructor can use to provide evidence of excellence in the three categories of evaluation.

#### Evidence of successful student learning outcomes

The applicant must show strong teaching in multiple categories below.

Criteria for Promotion	Accomplishments (examples below in italicsto be filled	Artifacts (to be filled in
	in by applicant)	by applicant)
REQUIRED: A minimum of three different course assignments with		
assessment criteria or rubrics		
REQUIRED: Provide a minimum of two student examples for each		
assignment with instructor feedback		
REQUIRED: Demonstrate course objectives by providing copies of		
all course syllabi		
In addition, candidates must provide documentation to support		
your case from the list below		
Pre-and post-evaluations (if available) of student learning to		
demonstrate an increase in knowledge and skills taught in a specific		
content area		
Student awards (department, college, university, etc.) with the		
assistance of the faculty member		
Other evidence of student success may be included and explained.		

#### Evidence of the use of effective teaching modalities

The applicant must demonstrate effectiveness in multiple categories below.

Criteria for Promotion	- ' ' ' - ' ' - '	Artifacts (to be filled in by applicant)
REQUIRED: Student teaching evaluations which demonstrate		
excellence in teaching, generally defined as ones mean scores being		
at or above the departmental means (or those for all online courses).		

REQUIRED: A summary of all available student evaluations,	
semester by semester, for each semester taught over the prior five or	
more academic years, indicating sustained excellence in teaching.	
REQUIRED: Peer evaluations of teaching from at least one member	
of the Department of Communication faculty, including reflection on	
feedback provided.	
REQUIRED: Analysis of evaluation data is required for outliers.	
Evidence can be demonstrated using examples from below:	
Development of new materials or significant innovation used in	
course delivery	
The incorporation of service learning or practical projects into	
courses	
Descriptions and examples of effective instructional technologies	
used to present concepts and to facilitate class organization,	
activities, and discussions	
Evidence of successful grant proposals and funding to enhance	
teaching	
Participation in Study Away programs	
Evidence of involvement with and effectiveness in interdisciplinary	
courses or approaches to teaching	
Successful implementation of teaching techniques from a variety of	
sources and development opportunities.	
Presentation(s) at a formal faculty teaching development opportunity	
(e.g., ADC workshop, Showcase on Teaching, external conference	
related to teaching)	
Teaching courses online or via distance education	
Evidence of consistent participation and involvement in student	
development or recruitment events	
Receiving teaching awards or honors (on the local	
(department/college/university), regional or national level)	
Invitations to teach to external audiences based on reputation or	
professional expertise	
Evidence of effective training and supervision of teaching and	
graduate assistants over the course of the evaluation period	

Evidence of leadership in curriculum development, advising or other appropriate university service

This includes the willingness and ability to make significant contributions to one's courses and to the department, college, university and community. The applicant must demonstrate effectiveness in multiple categories below.

Criteria for Promotion	Accomplishments (to be filled in by applicant)	Artifacts (to be filled in by applicant)
Development of a new course		
Evidence of commitment to official department academic advising		
duties, including lists of advisees, Master Advisor status for each		
year, accomplishments		
Effective management or coordination of programs within the		
department		
Service to the university in the form of consistent, active		
participation in departmental, Reynolds College of Arts and Letters,		
and university committees		
Evidence of effective advising to student organizations		
Evidence of organizing events, conferences, or other activities that		
contributes to the Missouri State University community		
Participation in community service related to the mission of the		
university or teaching duties		
Serving as a mentor to a graduate assistant		
Engagement in professional activities at <b>international</b> , national,		
regional, and/or state levels (e.g., NCA, review papers for		
conferences or serve on association committees)		
Hold an office or participate in active service to a professional		
committee in <b>international</b> , national, regional, or state organization		
in the area of expertise		
Receipt of awards or honors based on service to a community or		
professional organization in an area of professional expertise		

# Matrix, Tenure & Promotion to Associate Professor Application Following Department of Communication Personnel Committee Procedure Manual and Evaluation Guidelines (Revised November 2021)

#### **TEACHING**

The applicant must show strong teaching in multiple categories below.

Criteria for Both Tenure & Promotion	Accomplishments (examples below in italicsto be filled in by applicant)	Artifacts (to be filled in by applicant)
First 3 items are required to be completed by the applicant:		by applicant)
Numerical teaching evaluations are at or above the departmental		
mean.		
The department requires each faculty member to administer student		
course/instructor evaluations for each semester he or she teaches.		
The results of these evaluations, including written comments of		
students shall be employed as evidence of teaching effectiveness.		
According to the <u>Faculty Handbook</u> student evaluations "should		
account for no more than 50% of the total evaluation of teaching		
effectiveness." Rather student evaluations shall be used in		
combination with items submitted.		
The results of peer evaluation and self-evaluation of teaching.		
In addition, candidates must provide documentation to support		
your case in multiple categories from the list below		
Unsolicited letters from former students, colleagues in the		
department, in other university departments, from colleagues at other		
universities, and other professional associates able to comment		
objectively on teaching effectiveness.		
Textbooks, workbooks, anthologies, and other teaching resources		
produced for use in courses taught by this department		
Evidence of new-course development, instructional innovations, and		
contribution to curricula or program change		
Evidence of effective indirect instruction resulting in superior		
student work, including activities such as advising student		
organizations, supervising student organizations or student media,		
supervising student productions, directing theses and seminar papers,		
serving on thesis committees, and directing independent study		
projects  Descriptions of student advisement activities of angeigl		
Descriptions of student advisement activities, of special departmental assignments related to student advisement, of special		
departmental assignments related to student advisement, of special		

services to advisees, (petitions, letters of support, etc.), of	
contributions toward improved advisement	
Descriptions/evaluations of invited lectures in other than assigned	
departmental courses, in courses offered through other departments	
Recognition of teaching effectiveness through awards or other forms	
professional recognition	
Descriptions of student learning outcomes	
Other forms of evidence of teaching effectiveness acceptable to the	
department as negotiated with an appropriate departmental	
representative.	

# RESEARCH

A record of scholarship that is judged minimally sufficient for tenure in the Department of Communication includes the following.

Criteria for Both Tenure & Promotion	Accomplishments (to be filled in by applicant)	Artifacts (to be filled in by applicant)
A minimum of five (5) peer-reviewed publications while at Missouri State University unless stipulated otherwise by the candidate's appointment letter), one of which must be solo-authored and another of which must be solo-authored or primary-authored. Publications are to be some combination of state, regional, national and/or international journals or other recognized forms of publication.		
<b>Primary research</b> is required as part of one's research portfolio. For a description of primary research and the types of research it includes, see lines 966 to 993 of the <i>Tenure and Promotion</i> document.		
Although traditional forms of discipline specific scholarship are required and sufficient, other forms of scholarship and nontraditional outlets of publication are valued and can contribute to the whole of an individual's portfolio. <b>Supporting research</b> can also contribute to record of research that is judged minimally sufficient. See lines 995 to 1021 of the <i>Tenure and Promotion</i> document for examples of <b>Supporting research</b> .		
Research must reflect a programmatic focus that is consistent with the author's teaching and research interests and reflect a sustained and ongoing effort over time.		

Engaged Public Scholarship: This scholarly activity should involve	
a partnership with the public and/or private sector that enriches	
knowledge, addresses and helps solve critical societal issues, and	
contributes to the public good. Engaged public scholarship is	
consistent with the University's Public Affairs mission and includes	
research focused on civic participation in public life, participation by	
engaged scholars, and the impact of public scholarship on all	
constituencies. Engaged public scholarship must be subjected to	
critical peer review or should include input from a rigorous review	
by involved community partners. Individuals engaging in public	
scholarship should document and assess the significance of the	
project and the impact on the public good.	

### SERVICE

The applicant must show strong service and leadership in multiple categories.

Criteria for Both Tenure & Promotion	Accomplishments (to be filled in by applicant)	Artifacts (to be filled in by applicant)
Holding office or performing functions (editor, manuscript referee,		
pre-publication reviewer, panel critic, etc.) on behalf of international,		
national, or regional associations and professional organizations		
Organizing state or regional professional or research conferences,		
local or departmental colloquia		
Chairing faculty committees at the university, college, or departmental level		
Serving as an active, productive member of university, college, or		
departmental committees		
Serving as a consultant to business, not-for-profit organizations, or		
other universities		
Serving as an adjudicator at media or forensic competition		
Providing administrative services to the department as Director of		
Basic Courses, Director of Internships, Director of Graduate Studies,		
Director of Forensics, Director of the Center for Dispute Resolution,		
Assistant Basic Course Director, Assistant Director of Forensics, or		
Assistant Department Head		
Delivering invited lectures, conducting developmental workshops, or		
providing other professional services to business, institutions,		
associations, or not-for-profit organizations		

Providing other professional services deemed significant by the department as negotiated with an appropriate departmental representative	
Providing significant leadership to the department, college, and/or	
university	

#### Matrix, Tenure & Promotion to Professor Application Following Department of Communication Personnel Committee Procedure Manual and Evaluation Guidelines (Revised November 2021)

#### TEACHING

The applicant must show strong teaching in multiple categories below.

Criteria for Both Tenure & Promotion	Accomplishments	Artifacts
First 3 items are required to be completed by the applicant	<i>t</i> :	
Numerical teaching evaluations are at or above the		
departmental mean.		
Teaching evaluations, written comments of students, may		
be employed as evidence of teaching effectiveness. The		
department requires each faculty member to administer		
student course/instructor evaluations for each semester he		
or she teaches. The results of these evaluations, including		
written comments of students shall be employed as		
evidence of teaching effectiveness. According to the		
Faculty Handbook section student evaluations "should		
account for no more than 50% of the total evaluation of		
teaching effectiveness." Rather student evaluations shall		
be used in combination with items submitted.		
The results of peer evaluation and self-evaluation of		
teaching.		
In addition, candidate must provide documentation to		
support your case from multiple items below		
Unsolicited letters from former students, colleagues in the		
department, in other university departments, from		
colleagues at other universities, and other professional		
associates able to comment objectively on teaching		
effectiveness.		
Textbooks, workbooks, anthologies, and other teaching		
resources produced for use in courses taught by this		
department		
Evidence of new-course development, instructional		
innovations, and contribution to curricula or program		
change		
Evidence of effective indirect instruction resulting in		
superior student work, including activities such as		

advising student organizations, supervising student organizations or student media, supervising student productions, directing theses and seminar papers, serving on thesis committees, and directing independent study projects	
Descriptions of student advisement activities, of special departmental assignments related to student advisement, of special services to advisees, (petitions, letters of support, etc.), of contributions toward improved advisement	
Descriptions/evaluations of invited lectures in other than assigned departmental courses, in courses offered through other departments	
Recognition of teaching effectiveness through awards or other forms professional recognition	
Descriptions of student learning outcomes  Other forms of evidence of teaching effectiveness acceptable to the department as negotiated with an appropriate departmental representative.	

# RESEARCH

A record of scholarship that is judged minimally sufficient for promotion in the Department of Communication includes the following.

Criteria for Both Tenure & Promotion	Accomplishments	Artifacts
Significant peer-reviewed research and/or creative activity and		
a minimum of five single or multi-authored publications while		
at Missouri State University.		
Journal publications may be in any combination of state,		
regional, national and/or international journals that are		
appropriate for the subject matter in question and a majority of		
which are in the communication discipline		
<b>Primary research</b> is required as part of one's research		
portfolio. For a description of primary research and the		
types of research it includes, see lines 966 to 993 of the		
Tenure and Promotion document.		
Although traditional forms of discipline specific		
scholarship are required and sufficient, other forms of		
scholarship and non-traditional outlets of publication are		

valued and can contribute to the whole of an individual's	
portfolio. Supporting research can also contribute to	
record of research that is judged minimally sufficient. See	
lines 995 to 1021 of the <i>Tenure and Promotion</i> document	
for examples of <b>Supporting research</b> .	
The research must reflect a programmatic focus that is	
consistent with the author's teaching and research interests and	
reflect a sustained and ongoing effort over time. In some cases	
scholarship may not be peer reviewed. In such cases the	
scholarship may enhance an applicant's overall record.	
Engaged Public Scholarship: This scholarly activity should	
involve a partnership with the public and/or private sector that	
enriches knowledge, addresses and helps solve critical societal	
issues, and contributes to the public good. Engaged public	
scholarship is consistent with the University's Public Affairs	
mission and includes research focused on civic participation in	
public life, participation by engaged scholars, and the impact	
of public scholarship on all constituencies. Engaged public	
scholarship must be subjected to critical peer review or should	
include input from a rigorous review by involved community	
partners. Individuals engaging in public scholarship should	
document and assess the significance of the project and the	
impact on the public good.	

**SERVICE**The applicant must show strong service and leadership in multiple categories.

Criteria for Both Tenure & Promotion	Accomplishments	Artifacts
Providing significant leadership to the department, college,		
and/or university		
Holding office or performing functions (editor, manuscript		
referee, pre-publication reviewer, panel critic, etc.) on behalf		
of international, national, or regional associations and		
professional organizations		
Organizing state or regional professional or research		
conferences, local or departmental colloquia		
Chairing faculty committees at the university, college, or		
departmental level		
Serving as an active, productive member of university,		
college, or departmental committees		
Serving as a consultant to business, not-for-profit		
organizations, or other universities		
Serving as an adjudicator at media or forensic competition		
Providing administrative services to the department as		
Director of Basic Courses, Director of Internships, Director		
of Graduate Studies, Director of Forensics, Library		
Representative, or Assistant Department Head		
Delivering invited lectures, conducting developmental		
workshops, or providing other professional services to		
business, institutions, associations, or not-for-profit		
organizations		
Providing other professional services deemed significant by		
the department as negotiated with an appropriate		
departmental representative		