

MISSOURI STATE UNIVERSITY

PERIODIC REVIEW OF REAPPOINTMENT (OR RENEWAL OF CONTRACT), TENURE, PROMOTION GUIDELINES

DEPARTMENT: Marketing Department

COLLEGE: College of Business

SEMESTER/YEAR OF CURRENT REVIEW Spring 2020

SEMESTER/YEAR OF NEXT REVIEW Spring 2023

DEPARTMENT ADOPTION SIGNATURES:



6/9/20

Department Personnel Committee Chair

Date

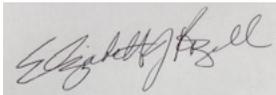


6-9-2020

Department Head

Date

APPROVAL SIGNATURES:



6-10-20

Dean

Date

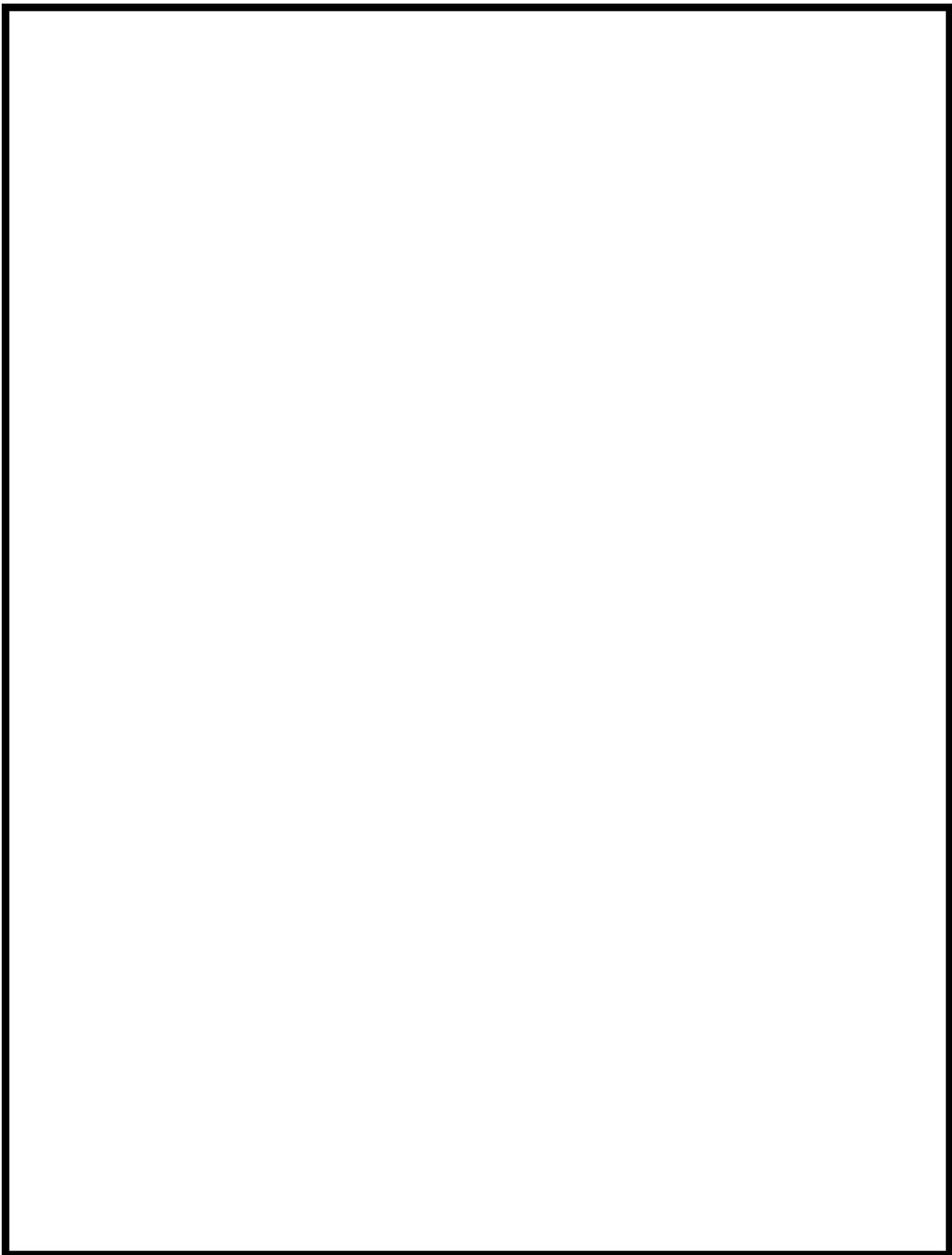
Chris Craig

6/11/20

Provost

Date

THIS PLAN IS IN EFFECT FROM 2020 THROUGH 2023



DEPARTMENT OF MARKETING

COLLEGE OF BUSINESS

MISSOURI STATE UNIVERSITY

**GUIDELINES FOR ANNUAL REVIEW,
TENURE, AND PROMOTION**

Approved June 2020

(FINAL 6/2/20)

MARKETING DEPARTMENT GUIDELINES FOR ANNUAL REVIEW, TENURE, AND PROMOTION

Table of Contents

I. Overview	1
II. Annual Review of Faculty Members	2
A. Non-probationary Faculty Annual Activity Report	2
B. Probationary Faculty Annual Reappointment Reviews	2
C. Annual Review with Department Head.....	3
III. Tenure and Promotion	3
A. Key Points Regarding Tenure from the Faculty Handbook.....	3
B. Tenure and Promotion to Associate Professor as a Joint Decision	4
C. Eligibility to Apply for Tenure and Promotion to Associate Professor.....	4
D. Eligibility to Apply for Promotion to Professor.....	4
E. Eligibility to Apply for Promotion to Distinguished Professor.....	4
F. Eligibility to Apply for Promotion to Senior Instructor.....	5
IV. Performance Evaluation Criteria for Tenure and/or Promotion	5
A. The MKT Department’s Philosophy Towards Tenure and Promotion Decisions.....	5
B. Summary and Scoring of Performance Evaluation Criteria.....	5
C. Performance Category #1: Teaching.....	6
<i>Matrix 1A – Essential (Required) Elements of Teaching Effectiveness.....</i>	<i>8</i>
<i>Matrix 1B – Additional (Optional) Areas of Teaching Effectiveness.....</i>	<i>9</i>
D. Performance Category #2: Research (Intellectual Contributions)	10
<i>Matrix 2A – Required Performance Research Standards.....</i>	<i>12</i>
<i>Matrix 2B – Contributing Performance Research Standards.....</i>	<i>13</i>
E. Performance Category #3: Service.....	14
<i>Matrix 3A- Required Service Dimension.....</i>	<i>15</i>
<i>Matrix 3B – Contributing Service Dimensions.....</i>	<i>16</i>
V. Required Documentation for Tenure and/or Promotion	17
A. Tab Ordered Checklist for Binder I.....	17
B. Checklist for Binder II – Research Supporting Documentation.....	18
C. Checklist for Binder III – Teaching and Service Supporting Documentation.....	18
APPENDICES: A. Review Process & MKT Evaluation Committees	19
B. Peer Evaluation of Teaching Form	22

MARKETING DEPARTMENT GUIDELINES FOR ANNUAL REVIEW, TENURE, AND PROMOTION

I. Overview

The following Guidelines for Annual Review, Tenure, and Promotion for the Marketing Department at Missouri State University (Guidelines) are adopted to: (1) promote fulfillment of Marketing Department (“MKT”) and College of Business (“COB”) Goals & Objectives and Mission Statements; (2) satisfy requirements of the Missouri State University (“MSU” or the “University”) Faculty Handbook (“FH”); (3) assist faculty members serving on MKT committees in evaluating faculty performance for tenure, promotion and reappointment; and (4) provide guidelines to help faculty members attain departmental and personal professional goals. Departmental guidelines and documentation requirements are required by FH 4.6 and FH 4.8.5.

These guidelines are based on the FH, MSU Provost’s FH Checklist for Promotion and Tenure criteria, COB requirements, and the MKT Department’s Goals and Objectives. The review process of applications for tenure and/or promotion through the Department Personnel Committee (“DPC”), Department Head (“DH”), Dean and Provost are outlined in FH 4.6.

A terminal degree (i.e., an earned doctorate in the individual’s discipline) approved by the Department, Department Head, Dean, and Provost is required for tenure and promotion of a ranked faculty member. For the MKT Department, the appropriate terminal degrees are an earned PhD or equivalent.

Each faculty member has a responsibility to contribute to the MKT, COB and University mission, goals and objectives through his/her tri-partite responsibilities in teaching, research and service. While no single faculty member is expected to contribute to every specific objective, and faculty members are encouraged to emphasize areas where their talents are most beneficial to the department, there is an individual and collective responsibility to assist in meaningful contribution to the fulfillment of those objectives.

This document rescinds and replaces all language contained in prior Department Tenure and Promotion policies and documents with the following exceptions. Assistant professors generally go up for tenure and promotion under the guidelines that were in effect at the time of appointment but have the option of going up under newer guidelines. Associate professors can go up for promotion under guidelines in effect within the last six years. “For instance, a policy in effect in Fall 2012 could be used for a promotion application in Fall of 2018” [3.3.3.]. Changes to these MKT Guidelines should be approved by a majority vote of ranked faculty members no later than the Summer semester of the academic year prior to implementation.

Useful Websites:

- The Missouri State University FH can be found at the following website: <https://www.missouristate.edu/provost/facultyhandbook/>
- The specific version of the FH referenced in this document may be found at the following website: <https://www.missouristate.edu/assets/policy/Faculty-Handbook-08-01-2019.pdf>
- The Provost’s Calendar for Faculty Evaluation, Tenure & Promotion, and Reappointment (the “Provost’s Calendar”) can be found at the following website: <https://www.missouristate.edu/assets/Provost/FacultyEvaluationCalendar.pdf>.
- Throughout the remainder of this document, any mention of a “Provost-required” form can be found at the following website: <https://www.missouristate.edu/provost/tpappointments.htm>.

II. Annual Review of Faculty Members

A. Non-Probationary Faculty Annual Activity Report

Each non-probationary faculty member, by a deadline established by the DH or Provost's Calendar in the early part of the Spring semester, shall submit to the DH an Annual Activity Report in the format designated by the COB and the MKT Department, specifying his/her contributions to teaching, research/scholarly activities, and service. Faculty members may obtain current templates for the Annual Activity Report forms from the MKT Department website. Each non-probationary faculty member also shall update scholarly activities in Digital Measures by December 31 of each year and submit annual AACSB Faculty Qualification forms to the DH by the appropriate deadline established by the Dean (typically the first week of February).

B. Probationary Faculty Annual Reappointment Reviews

For probationary faculty members (i.e. untenured ranked faculty), the Annual Reappointment Review will satisfy the requirement of an Annual Performance Review. The Annual Reappointment Review of probationary tenure-track faculty members will conform to FH 4.6.1, FH 4.6.2, FH 4.6.3, FH 4.6.6 requirements and the timeline set forth in FH 4.6.3 and the Provost's Calendar (earliest dates shall take precedence). Each probationary faculty member also shall update scholarly activities in Digital Measures by December 31 of each year and submit annual AACSB Faculty Qualification forms to the DH by the appropriate deadline established by the Dean.

In addition to the Annual Activity Report forms used by non-probationary faculty, probationary faculty members will submit materials to the DH in three-binders, the format of which is described in Section V. Upon receipt of the binders from non-probationary faculty, the DH shall provide the binders to the DPC, which will first review the material and provide a detailed written evaluation and recommendation to the probationary faculty member using the appropriate Provost-required form in accord with FH 4.6.3 and the requirements detailed in this MKT Guidelines document. The DPC will evaluate the faculty member's cumulative record as he or she progresses toward tenure and will specify one of three outcomes:

1. Progress toward tenure/promotion is **Satisfactory** (Demonstrates strong potential for success)
2. Progress toward tenure/promotion is **Questionable**, identifying areas for improvement and providing specific suggestions (Potential for success is unclear, and issues must be addressed)
3. Progress toward tenure/promotion is **Unsatisfactory**, providing specific rationale (Demonstrates poor potential for success or inability to succeed, or demonstrates an unwillingness to address identified issues satisfactorily)

Per the handbook, "In all cases, the committee will provide clear feedback, identifying areas for improvement, making specific suggestions or recommendations regarding continued appointment or non-renewal, and provide appropriate rationale in the event the committee recommends non-renewal."

The DPC's evaluation, recommendations and candidate's binders shall be forwarded to the DH, who will add his/her evaluation and recommendation using the appropriate Provost-required form to those of the DPC and forward the dossier and recommendations to the Dean. In accord with FH 4.6.1, copies of evaluations and recommendations at each level shall be provided to the candidate, who shall undersign those evaluations (to acknowledge receipt) before the evaluations, recommendations and accompanying packet are sent to the next level. *Note: The candidate's signature does not imply that the candidate endorses the recommendations. Faculty applicants have 3 business days to review, respond, sign and return to the Department.*

For reappointment, the probationary faculty member should generally have demonstrated satisfactory performance and progress toward tenure in the areas of teaching, research and service, consistent with departmental and college goals and objectives, and should comply with expectations specified in his/her original (or modified) MSU employment contract. However, meeting minimal expectations for annual reappointment is not a guarantee of tenure and promotion. A probationary faculty member should take immediate steps to address any concerns noted in annual evaluations.

In the case of a nonrenewal recommendation for a probationary faculty member, all the evaluations and recommendations shall be forwarded to the Provost. At each step the DPC chair and appropriate administrator shall sign the evaluation/recommendation and the probationary faculty member shall also sign the evaluation to acknowledge receipt. *Faculty applicants have 3 business days to review, respond, sign and return to the Department.* Non-renewal recommendations will follow the timeline set forth in FH 4.6.3 and the Provost's Calendar.

C. Annual Review with Department Head

After submitting the materials described in either Section II.A or Section II.B above, each faculty member shall meet with the DH to discuss prior performance and future performance objectives. In order to receive a satisfactory review, the faculty member shall maintain faculty qualifications consistent with AACSB accreditation requirements, as described in the COB Policy Manual.

The DH will provide each faculty member with a written evaluation of the performance review of teaching, research and service shortly after the review meeting using the appropriate Provost-required form. This written evaluation shall be signed by the faculty member and DH and be placed in the faculty member's personnel file (The candidate's signature does not imply that the candidate endorses each of the recommendations). This evaluation and the faculty member's written response, if any, will be available to the DH and to the DPC for reappointment, tenure or promotion considerations.

III. Tenure and Promotion

A. Key Points Regarding Tenure from the *Faculty Handbook*

The following are key points regarding tenure, which is described in detail in Section 3.7.2 of the FH:

1. Each decision is individual and is based on a faculty member's specific assignment in conjunction with performance standards identified by the University, the COB, and the MKT Department.
2. The responsibility for meeting deadlines for applications and providing required documentation lies completely with the individual faculty member, and tenure will not be granted to faculty who fail to apply by the specified time and/or those who fail to include all required documentation.
3. Meeting minimum standards may be insufficient for purposes of tenure and promotion. The decision to grant tenure and promotion is inherently judgmental. The DPC has both an obligation and the professional responsibility to apply its collective judgment to each individual tenure and promotion decision. The candidate has an equal obligation to demonstrate his or her relative merit beyond that of basic competence.
4. No faculty member will be offered tenure upon hire unless (1) the candidate's credentials satisfy the department's standards for tenure and promotion, and (2) a majority of the tenured departmental faculty at or above the candidate's rank vote to approve the tenure offer (FH 3.8.2.).

B. Tenure and Promotion to Associate Professor as a Joint Decision

In the MKT Department non-tenured Assistant Professors *must* apply for tenure and promotion to Associate Professor concurrently. The same performance criteria are used both to award tenure and to award promotion. A candidate will not be awarded tenure unless they are also promoted to Associate Professor, and vice versa.

C. Eligibility to Apply for Tenure and Promotion to Associate Professor

The rank of Associate Professor is reflective of one who has demonstrated a sustained record of achievement and effectiveness in Teaching, Research, and Service appropriate to the discipline (FH 3.3.2). An Assistant Professor is normally reviewed for tenure and promotion to Associate Professor during the **sixth year** (FH 4.6.4.1), although individuals may apply prior to the final year stated in the faculty member's initial letter of employment in exceptional circumstances. Additionally, an experienced faculty member may come to MSU and be granted credit for prior service at another institution (FH 3.8), which can shorten the initial tenure and promotion clock. Credit for previous service should be specified in the initial appointment letter of the faculty member, and if no credit is specified, then none is given. Regardless, initial appointment letters should specify the last semester during which the tenure and promotion application can be made. (FH 3.7.2.)

A candidate for tenure and promotion to Associate Professor must also fulfill all contractual expectations specified in the initial appointment letter. A faculty member hired without terminal degree is expected to hold the status of "ABD" (all but dissertation) and complete the degree during the first year of appointment unless the contract specifies a different date. Any extension to the required date of terminal degree will require approval from the DH, COB Dean, and Provost. Such approvals will only be granted for exceptional faculty under extraordinary circumstances.

D. Eligibility to Apply for Promotion to Professor

An Associate Professor with a terminal degree is minimally eligible for promotion to Professor after five years of academic service at the University in the rank of Associate Professor, and upon satisfying the other performance expectations commensurate with that rank (FH 3.3.3). A faculty member may delay the application for promotion to Professor beyond this minimum five-year period. The DPC will consider the entire body of work by the applicant since obtaining the rank of Associate Professor with emphasis on the five years preceding the date of application for promotion to Professor.

Associate Professors are not required by the FH to be reviewed annually by the DPC. However, Associate Professors that are considering applying for promotion to Professor are strongly encouraged (but not required) to seek a (non-binding) pre-promotion review from the DPC at least 2 years prior to the anticipated application date to gain feedback as to whether he or she is on track to meet the requirements for promotion to Professor. An Associate Professor may request more than one pre-promotion review from the DPC before applying to the rank of Professor.

E. Eligibility to Apply for Promotion to Distinguished Professor

To be eligible for promotion to Distinguished Professor (in years when appointment to this rank is available), an individual shall have held the Professor rank for a minimum of five years, with at least three years in the rank at MSU; have a record of extraordinary performance in research with a national or international reputation; and have a sustained record of excellence in both teaching and service (FH 3.3.4). Decisions for promotion to Distinguished Professor are made by University Committee, not the DPC.

F. Eligibility to Apply for Promotion to Senior Instructor

An Instructor who has demonstrated excellence in Teaching and Service at Missouri State University for at least five years (not necessarily consecutive) may be appointed as a Senior Instructor. Senior Instructors are expected to provide leadership in teaching, contribute to course development and provide appropriate University service. Senior Instructors may participate in research, but this is generally not a requirement of the position.

A Senior Instructor shall be appointed to a specific term not to exceed five years and may be reappointed to one or more additional terms, contingent upon satisfactory performance reviews, educational needs and continued funding. A Senior Instructor who is reappointed will be reappointed at that rank. If a Senior Instructor applies for and is appointed to a tenure-track faculty position, the time spent as Senior Instructor at Missouri State University will not count toward the probationary period for tenure and promotion (FH 3.5.2).

IV. Performance Evaluation Criteria for Tenure and/or Promotion

A. The MKT Department's Philosophy Towards Tenure and Promotion Decisions

The development and application of these criteria reflect a shared philosophy held by faculty in the MKT Department. This philosophy includes the following:

1. Tenure and promotion decisions are not programmed decisions that can be reduced exclusively to the application of rating scales, point systems, and weighting schemes. Instead, these decisions are *inherently judgmental* (FH 3.7.2.) and the role of faculty is to exercise professional judgment in evaluating candidates.
2. When an individual is appointed to a position in MKT, we desire for the individual to succeed and accept responsibility to assist as peers and mentors to help develop and nurture new faculty.
3. We have a responsibility to inform candidates about what is expected of them by communicating all relevant performance categories, standards for performance, and providing regular, detailed, and honest performance feedback. If a candidate is deficient in any area, this feedback should include specific suggestions to the candidate on how to improve performance.
4. We have a responsibility not only to be fair and impartial in our application of these relevant criteria, but also to realize that individuals perform varying roles and contribute in different ways, and that each promotion and tenure decision is unique and shall be made with sensitivity to individual dimensionality and the specific role and context within which each individual performs.

B. Summary and Scoring of Performance Evaluation Criteria

FH 4.1 identifies the following evaluation criteria for faculty with different types of appointments. Some variation on these criteria may be made based on contract letters.

Faculty Appointment Type	Evaluation Based On:	FH Section
Tenure-Track Faculty	Teaching, Research, Service	4.2
Instructors*	Teaching, Service	4.2
Per Course Faculty	Teaching or other criteria (contract dependent)	4.2
*Some Instructors may include research depending on ability to meet AACSB qualification status.		
<i>Adapted from FH 4.1</i>		

The sections below describe three general categories of faculty performance - Teaching, Research (intellectual contributions), and Service - used by the MKT Department to evaluate faculty with standard appointments for purposes of promotion and tenure. Each criterion is defined, performance dimensions are described, and standards and examples of measures are offered. Detailed documentation requirements for tenure and promotion dossiers are outlined in Section V.

In addition to these categories of performance each candidate must meet standards of ethical behavior and collegiality described in the FH and required of the profession. While not specifically addressed in performance criteria, serious breaches of professional ethical standards and/or inappropriate conduct towards others, including conduct inconsistent with notions of collegiality as provided in FH 1.1.3.4 on collegiality, may provide grounds for denying tenure/promotion. FH 1.1.3.4. states:

“The community of scholars that is Missouri State University fulfills the University's general mission and its public affairs focus guided by these values and beliefs:

- Practicing personal and academic integrity
- Being a full participant in the educational process and respecting the right of all to contribute to the "Marketplace of Ideas."
- Respecting the integrity of peers and associates by treating all persons with civility, while understanding that tolerating an idea is not the same as supporting it.
- Being a steward of the University’s resources.

Collegiality among colleagues is a vital asset to the University community. The University community values collegiality less as a separate quality and more as an integrated or distributed aspect of an individual’s interactions, as expressed in the [AAUP document On Collegiality](#).”

The MKT Department uses the following scoring system to assist with evaluating tenure and promotion decisions. The candidate should provide a self-assessed score for each performance category, and the DPC and DH will also provide their scores of the candidate in each performance category.

SCORING SYSTEM:

BELOW EXPECTED = 0; EXPECTED = 1; ABOVE EXPECTED = 2; EXCELLENT = 3

TENURE OR PROMOTION TO RANK		PERFORMANCE CATEGORY			MINIMUM TOTAL POINTS
		TEACHING	RESEARCH	SERVICE	
ASSOCIATE PROFESSOR AND TENURE*	OPT. A	2	2	1	5
	B	2	1	2	5
	C	1	2	2	5
	D	3	1	1	5
	E	1	3	1	5
FULL PROFESSOR	OPT. A	3	2	2	7
	B	2	3	2	7
	C	3	3	1	7
	D	2	2	3	7

* **Note:** *There is no provision for being tenured and promoted from Assistant Professor to Associate Professor in the Marketing Department with a score of 1 for teaching, 1 for service and a 3 for service. This is because while the Department recognizes that some faculty will excel more at teaching or research, it is not our desire to promote and tenure people purely on the basis of service that exceeds expectations.*

C. Performance Category #1: Teaching

The FH 4.2.1.2 clearly states that, “*Teaching is among the most important faculty responsibilities of any institution of higher education.*” Therefore, teaching effectiveness is required in order to earn tenure and promotion. The handbook describes two categories of activities that constitute effective teaching: Essential Elements that are required for tenure and promotion and Additional Areas that are not required, but may be considered in the tenure and promotion decision.

The Essential Elements of teaching effectiveness required for tenure and promotion are: Knowledge, Teaching Strategies, and Evaluation and Response to Feedback. Additional Areas that may be evaluated and considered are Accessibility and Diversity. FH 4.2.1 acknowledges that teaching is a multidimensional activity and as such, this implies multiple measures should be used to assess teaching effectiveness.

Matrix 1A – Essential (Required) Elements of Teaching Effectiveness for Promotion and Tenure, contains a brief description of each dimension, examples of how each element can be demonstrated, and examples of how these can be measured. The examples listed in each category are not intended to be exclusive or exhaustive. Unless otherwise noted in the matrix, the standards should be considered the same for all ranks.

Matrix 1B – Additional (Optional) Areas of Teaching Effectiveness for Promotion and Tenure, contains a brief description of each dimension and examples of how these can be demonstrated and measured. The examples listed are not intended to be exclusive or exhaustive. These dimensions are optional for promotion/tenure to all ranks.

Caution should be exercised when interpreting the results of formal student evaluation instruments and should account for no more than 50% of the final assessment of faculty performance in teaching. While students may be appropriate evaluators of classroom delivery, some teaching strategies, and appropriate conduct toward students, students are inappropriate evaluators of course knowledge, and many other dimensions for which faculty peers are more informed evaluators.

The FH further acknowledges in 4.2.1.2 that teaching should not be considered in isolation but that it is “*affected by overall workload, level of course, experience in teaching a particular course, number of students, use of new modalities or approaches, and nature of course (general education, requirement for major, etc.)*.” Therefore, these issues should be considered when evaluating faculty for promotion and tenure. The committee shall use careful, considered, professional judgment in evaluating a candidate. The committee shall consider the entire context of the teaching environment, the various dimensions of teaching performance, and the totality of the evidence presented by the candidate.

Matrix 1A – Essential (Required) Elements of Teaching Effectiveness for Tenure and Promotion to Associate Professor, Professor, or Senior Instructor

Knowledge (4.2.1.2.1): <i>“Faculty members must be up to date and competent regarding the content of their courses”</i>			
Performance Standard for Tenure and Promotion	How Performance may be Demonstrated/Documented	*	**
Course content is relevant and reflects current developments in the area	~ Syllabi that describe relevant course goals, materials, and descriptions of relevant and current topics to be covered. ~ Examples of assignments, cases, or projects that enhance student learning of course content.		
Faculty member engages in activities to maintain current knowledge of the course material	~ Teaching narrative that documents engagement in activities to maintain current knowledge (e.g., continued education, attending conferences, workshops, seminars, participation in consulting activities, development or delivery of executive or managerial education programs, participation in professional organizations, professional certifications, and/or an ongoing research agenda related to courses taught)		
Teaching Strategies (4.2.1.2.2): <i>“...faculty members should incorporate best practices in their classes to the extent possible.”</i>			
Performance Standard for Tenure and Promotion	How Performance may be Demonstrated/Documented	*	**
Specify learning objectives for each course	~ Syllabi that contain clearly defined course objectives.		
Ensure students understand how to achieve those objectives	~ Syllabi that communicate how to achieve objectives, including a grading scale and composition of total points in course.		
Use grading systems that reflect the degree to which students accomplish the objectives	~ Syllabi documents grading systems that reflect the degree of student accomplishment of objectives.		
Be appropriately accessible to students through a variety of means	~ Syllabi that contains office hours and provides information for multiple methods of contact. ~ Student feedback and/or peer observation indicates faculty maintains office hours, keeps appointments, responds to messages promptly, and is available and willing to assist students.		
Other teaching-related contributions	~Review of teaching narrative. Examples may include: engaging in activities to preserve academic integrity; developing new courses or assisting with curriculum development; innovative teaching methods or pedagogical research; providing experiential learning opportunities, leading Study Away Programs, or supervising an independent study or research project; teaching non-traditional modalities such as online or blended delivery; participating in the China Program, EMBA Program, and intersession or summer teaching; student mentoring regarding career and educational development; or providing assistance to other faculty in the area of teaching.		
Evaluation and Response to Feedback (4.2.1.2.5): <i>“Faculty must ensure evaluation of their teaching through multiple means (e.g., self-reflection, peer and/or supervisor review, assessment of student outcomes).”</i>			
Performance Standard for Tenure and Promotion	How Performance may be Demonstrated/Documented	*	**
Obtains teaching performance feedback from multiple sources	~ Review of formal student evaluation summary numbers and student comments. ~ Review of informal student feedback and teaching narrative (e.g., student or other stakeholder emails, faculty created evaluations, focus-groups, classroom discussions). ~ Peer observation and review of classroom teaching that indicates evidence of skill in classroom delivery. <u>For Tenure and Promotion to Associate Professor or Promotion to Senior Instructor:</u> A minimum of two class observation/reviews that indicate evidence of skill in classroom delivery. The reviews may be completed by any tenured faculty member in the department. For new appointments, at least one observation should be conducted in the first year. <u>For Promotion to Professor or Distinguished Professor:</u> Class observation/reviews are optional and may be requested by the candidate.		
Considers teaching performance feedback and modifies as appropriate	~ Review of teaching narrative indicating faculty has considered and modified teaching-related activities considering feedback received.		

*Candidate’s Description of Performance Related to Standard

**Documentation Location

Matrix 1B – Additional (Optional) Areas of Teaching Effectiveness for Promotion and Tenure

Accessibility (4.2.1.2.3) <i>“Where appropriate, faculty may extend the availability of education beyond the traditional classroom setting through activities that include, but are not limited to, offering online distance learning, online courses, public lectures or workshops, working with the community and public schools in providing access to education, and developing educational materials that address accessibility issues.”</i>			
Performance Standard for Tenure and Promotion	How Performance may be Demonstrated/Documented	*	**
Faculty engage in any of the activities listed above or an activity that is not listed above but that is consistent with the spirit of 4.2.1.2.3.	~ Review of teaching narrative and other documents that demonstrate the faculty member has engaged in a relevant accessibility activity. ~ Review of feedback from stakeholders impacted by the faculty member’s accessibility efforts.		
Diversity (4.2.1.2.4): <i>“Special efforts to bring diversity to students’ educational experience which might include inviting guest speakers who offer diverse viewpoints, taking students to locations where they will be exposed to an unfamiliar environment, and requiring students to seek out diversity as part of their course requirements.”</i>			
Performance Standard for Tenure and Promotion	How Performance may be Demonstrated/Documented	*	**
Faculty engage in any of the activities listed above or an activity which is not listed above but that is consistent with the spirit of 4.2.1.2.4.	~ Review of teaching narrative and other documents that demonstrate the faculty member has engaged in a relevant diversity activity. ~ Review of feedback from stakeholders impacted by the faculty member’s diversity efforts.		

*Candidate’s Description of Performance Related to Standard
 **Documentation Location

D. Performance Category #2: Research (Intellectual Contributions)

1. Overview

The MKT Department's performance dimensions and standards for research are guided by two major sources: the FH and the AACSB's *2017 Business Accreditation Standards*. The FH states that the process of research (scholarly productivity) is an integral and indispensable part of the university's basic function to create, preserve, and transmit knowledge and otherwise facilitate student learning. Thus, research is an essential faculty role responsible for maintaining the individual faculty member's competence, contributing to the education of students, and advancing the interests of one's profession and the needs of society. Therefore, intellectual contributions or research productivity should be considered in tenure and promotion decisions (FH 4.2.2). FH 4.2.2.1 defines research, "*as the production and formal communication of creative scholarly work...To qualify as Research activities must produce outcomes that are disseminated and subjected to critical peer review or evaluation by the scholarly community, and those outcomes should serve the growth of knowledge in a field or be of significant practical use.*"

The AACSB's *2017 Business Accreditation Standards*, Standard 2, defines three categories of research:

- **Basic or discovery scholarship** (often referred to as discipline-based scholarship) that generates and communicates new knowledge and understanding and/or development of new methods. Intellectual contributions in this category are normally intended to impact the theory or knowledge of business.
- **Applied or Integration/application scholarship** that synthesizes new understandings or interpretations of knowledge or technology; develops new technologies, processes, tools, or uses; and/or refines, develops, or advances new methods based on existing knowledge. Intellectual contributions in this category are normally intended to contribute to and impact the practice of business.
- **Teaching and learning scholarship** that develops and advances new understandings, insights, and teaching content and methods that impact learning behavior. Intellectual contributions in this category are normally intended to impact the teaching and/or pedagogy of business.

FH 4.2.2 provides a taxonomy of research that is substantially similar to that described in the AACSB's *2017 Business Accreditation Standards*, with Standard 2 describing intellectual contributions consistent with its mission and the mission of the COB. For this reason, the MKT Department incorporates elements of the AACSB's taxonomy into our criteria for tenure and promotion.

2. Descriptions of Performance Standards for Research

Research is generally only required of ranked faculty members. Scholarly engagement is not required for promotions related to instructors. However, should these faculty members engage in scholarly activities, such activities may be used to help satisfy requirements for teaching, service, or professional engagement as appropriate.

FH 4.2.2.2 provides four goals and criteria for evaluating research. "*Item 1 (Matrix 2A) below is of paramount importance on this list, and any faculty member who, in order to succeed in the area of Research at Missouri State University and attain tenure and promotions, must succeed in item 1. Although items 2, 3, and 4 (Matrix 2B) are not individually prescriptive, they are inclusive of Research and may be considered. Success in one or more of these areas (2-4) is required to attain tenure and promotion from Assistant Professor to Associate Professor. Sustained success in one or more of these areas is required for promotion from Associate Professor to Professor.*"

Matrix 2A – Required Performance Research Standards for Tenure and Promotion to Associate Professor and Promotion to Professor describes the required aspect of expanding knowledge and/or demonstrating growth in an area of expertise. Of special importance, the MKT Department quantity and quality requirements of research for tenure and promotion are described in Matrix 2A.

Matrix 2B –Contributing Performance Research Standards for Tenure and Promotion to Associate Professor and Promotion to Professor describes factors that are not required, but that may contribute to the evaluation of research for tenure and promotion. These contributing areas are application of research to benefit University constituents, transmission, and involvement of students.

The examples in Matrix 2A and Matrix 2B are not meant to be either exclusive or exhaustive. The DPC shall exercise considerable professional judgment, both when deciding whether a faculty member's contribution fits a specific category, and on evaluating the significance of the contribution. The DPC shall use evidence from external reviews in their evaluation of relevant performance dimensions relating to the candidate's intellectual contributions.

Additionally, the academic world of publication and other forms of dissemination of research have changed in many ways in recent years. To this point, online journals and other forms of open access to research publications have increased and are widely utilized. Some are peer-reviewed, some are not, and for some online outlets it is difficult to determine what degree of scrutiny the research publication has received. It is incumbent upon the candidate to thoroughly document the quality of all their publications, but this is particularly true if the publication outlet is online only and/or open access. Lack of demonstrable evidence of sufficient peer-review may result in a publication not being counted towards meeting tenure and promotion requirements. Because the DPC will consist of members uniquely qualified to judge the value of publications in the Marketing and related disciplines, the judgment of the DPC will suffice in evaluations of quality.

Publications (including final acceptances) that occur after a candidate submits an application for tenure and promotion to Associate Professor, but before the actual promotion to Associate Professor occurs, shall be treated as though they occurred after the promotion to Associate Professor and can therefore be used by the candidate toward meeting the requirements of promotion to Professor.

Matrix 2A – Required Performance Research Standards for Tenure and Promotion to Associate Professor and Promotion to Professor

1. Expand Knowledge and/or Demonstrate Growth in Area of Expertise: <i>“Faculty members meet this goal if they have engaged in sufficient quantity and quality of peer-reviewed Research”</i> in the areas of 1) basic or discovery scholarship, 2) applied or integration/application scholarship, and 3) teaching and learning scholarship.			
Required Research Performance Standard	How Performance is to be Documented and Evaluated	*	**
<p>Quantity Requirements: The typical quantity expectation is six (6) peer-reviewed publications of average quality (acceptance for publication is equivalent to publication for purposes of evaluation for tenure and promotion). For tenure and promotion to Associate Professor: 1) at least half of the publications should fall into the category of basic, discovery, applied, or integration/application scholarship; and 2) the faculty should demonstrate co-authorship with a variety of co-authors. Sole-authored publications are almost non-existent in average to exceptional peer-reviewed publications; therefore, the MKT Department does not require a sole-authored publication.</p> <p>Quality Requirements: The best proxy for the quality of research is the reputation of the outlet in which it is published. The majority of articles should be published in journals listed in Cabell’s Scholarly Analytics, or similar scholarly journal directories. In addition, only articles published in journals with documented acceptance rates below 70% will count toward quality requirements. It is the responsibility of the candidate to thoroughly document the quality of their publications using measures such as Cabell’s listing information, acceptance rates, impact factors, citation counts, and journal rankings (e.g., grades of journals provided by other universities, rankings by Financial Times, journal grades from the Australian Business Deans Council). If the documentation regarding quality of a given publication is in any way lacking, the DPC will be the most qualified entity in the tenure/promotion process to assess the quality of the publication(s) in question.</p>	<p>~ Review of research vita and published articles verifying that the dimensions and the number meet requirements.</p> <p>~ Review of candidate’s research statement/summary to evaluate indicators of research impact/quality including citation counts for each article published and evidence of the quality of the publication outlet (scope, acceptance rates, impact factors, journal rankings, awards from the journal or the COB)</p> <p>~ Review of vita, articles, and research statement to evaluate indicators of the candidate’s contribution.</p>		

*Candidate’s Description of Performance Related to Standard

**Documentation Location

Matrix 2B – Contributing Performance Research Standards for Tenure and Promotion to Associate Professor and Promotion to Professor

<p>Tenure and Promotion to Associate Professor is supported by <u>success</u> in at least one contributing dimension. Promotion to Professor is supported by <u>sustained success</u> in at least one contributing dimension or a pattern of success when considering multiple dimensions.</p>			
<p>2. <u>Application of Research to Benefit University Constituents:</u> “The criterion for this goal refers to the application of Research to solving problems or addressing situations significant to the public that require professional expertise.”</p>			
Contributing Research Examples	How Performance is to be Documented and Evaluated	*	**
Using one’s professional expertise in helping solve a problem or address a situation that is of public interest. This can most clearly be demonstrated by acting as a consultant to organizations (public or private) that serve the public interest. However, other examples may be considered. It is incumbent upon the faculty member to describe how their activities satisfy this criterion.	<p><u>Success:</u> Review of candidate’s research statement and other documents providing evidence of the use of the candidate’s professional expertise to help solve a problem or address a situation of public interest.</p> <p><u>Sustained Success:</u> Review of candidate’s research statement and other documents providing evidence of an overall pattern of activity in the use of the candidate’s professional expertise to help solve a problem or address a situation of public interest.</p>		
<p>3. <u>Transmission:</u> “The criterion for this goal refers to transmission of Research product beyond that required for peer review in one’s field. Faculty members meet this goal if they can document accomplishments in sharing knowledge and creative work with a broader audience.”</p>			
Contributing Research Examples	How Performance is to be Documented and Evaluated	*	**
Interviews, presentations, panel discussions, or publications, that draw on the faculty members’ scholarly expertise and for which the audience members are practitioners, university groups, community groups, or the general public shall qualify as “transmission.”	<p><u>Success:</u> Review of candidate’s research statement and other documents providing evidence of transmission of research beyond that required for peer review.</p> <p><u>Sustained Success:</u> Review of candidate’s research statement and other documents providing evidence of an overall pattern of activity in the transmission of research beyond that required for peer review.</p>		
<p>4. <u>Involvement of Students:</u> “Research is of added value in the University mission if the work involves students, either graduate or undergraduate, as active participants in the research process.”</p>			
Contributing Research Examples	How Performance is to be Documented and Evaluated	*	**
Involvement of students in research can range from providing students the opportunity to participate in research as research subjects to mentoring, advising, and coauthoring with students on publications.	<p><u>Success:</u> Review of candidate’s research statement and other documents providing evidence of the involvement of students in research.</p> <p><u>Sustained Success:</u> Review of candidate’s research statement and other documents providing evidence of an overall pattern of activity in the involvement of students in research.</p>		

*Candidate’s Description of Performance Related to Standard

**Documentation Location

C. Performance Category #3: Service

The FH states that service serves to support the academic tradition of shared governance, to support professional and organizational needs of the disciplines, and to bring the products of University work to the public for its benefit (FH 4.2.3.1). Each faculty member is required to engage in service as one of the requirements for reappointment, promotion, and tenure.

FH 4.2.3.2 provides a taxonomy of service activity that forms the basis for the MKT Department's criteria for tenure and promotion. Service activities include (1) University Citizenship (which consists of departmental, college, and university-level service), (2) Professional Service, (3) Public Service, and (4) Professional Consultation. Specifically,

"...Item 1...is of paramount importance on this list, and any faculty member, in order to succeed in the area of Service at Missouri State University and attain tenure and promotions, must succeed in item 1. Although items, 2, 3, and 4 are not individually prescriptive, they are inclusive of Service, and may be considered. Success in one or more of these areas (2-4) is required to attain tenure and promotion from Assistant Professor to Associate Professor. Sustained success and documented leadership in one or more areas are required for promotion from Associate Professor to Professor."

The faculty of the MKT Department acknowledge that early in a faculty member's career the primary emphasis should be developing their research and teaching. Service expectations increase as the faculty member becomes more experienced. Evidence of some leadership is expected in the later years of the appointment to Assistant Professor and is required for consideration for promotion to Associate Professor. Candidates applying for promotion to Professor should have continued to participate in campus events and to serve on departmental, college, and university committees.

A description of each service dimension, the standards of performance for that dimension, and illustrative examples of each type of service are listed in Matrix 3A and 3B that follow. The examples that follow are not meant to be either exclusive or exhaustive. Other/additional activities can be considered service, but it is incumbent upon the faculty member to demonstrate how the activity satisfies the criteria for service. The DPC shall exercise considerable professional judgment, both when deciding whether a faculty member's contribution fits a specific category, and in evaluating the significance of the contribution.

Matrix 3A- Required Service Dimension for Tenure and Promotion

<p>Tenure and Promotion to Associate Professor and Promotion to Senior Instructor require <u>success</u> in this dimension. Promotion to Professor requires <u>sustained success</u> in this dimension. Sustained success is determined by an overall pattern of activity.</p> <p>1. University Citizenship (4.2.3.2.1) <i>Faculty must recognize their responsibility to the organization and contribute fairly to the task of shared-governance. This includes but is not limited to, service on program, departmental, college and university committees and task forces. Service activities supporting University citizenship may also include collaborations and contributions for the collegiate well-being such as providing professional development, participating in campus discussions, and expanding opportunities for shaping the learning environment.</i></p> <p>Citizenship activities relate to active participation in the shared governance structure of the Department, the College, and the University</p>			
Examples Service Activities to Satisfy Requirement	How Performance is to be Documented and Evaluated	*	**
<ul style="list-style-type: none"> ~ Active membership on departmental committees ~ Active membership on college committees ~ Active membership on university committees ~ Frequent participation as a departmental, college, and/or university representative at campus events (e.g., Commencement, Showcase, Majors Fair, New-Student Convocation, Career Fair, or other activities) ~ Providing assistance to colleagues with professional issues and problems ~ Advising or supporting student organizations ~ Other organizational citizenship behaviors (it is incumbent on the faculty member to show how the activity meets the description of University Citizenship). 	<p>Success: Peer review of candidate’s service statement and other documents verifying a gradual increase from minimal to moderate levels of service engagement in a variety of citizenship behaviors related to shared governance. Service at the departmental, college, and university level are expected.</p> <p>Sustained Success: Peer review of candidate’s service statement and other documents verifying (1) a continued overall pattern of engagement in a variety of citizenship behaviors related to shared governance and (2) leadership in some of these activities. Service at the departmental, college, and university level are expected.</p>		

*Candidate’s Description of Performance Related to Standard

**Documentation Location

Matrix 3B – Contributing Service Dimensions Considered for Tenure and Promotion

<p>Tenure and Promotion to Associate Professor and for Promotion to Senior Instructor is supported by <u>success</u> in one or more contributing service areas.</p> <p>Promotion to Professor is supported by <u>sustained success</u> in at least one contributing area of service or a pattern of success when considering multiple contributing areas of service.</p>			
<p>2. Professional Service: (4.2.3.2.2), <i>the criteria for this goal refer to contributions to professional organizations within the faculty member’s field.” Additionally, this may include sponsoring, mentoring, or advising an active student organization, or providing opportunities for student experiences outside the expectations of teaching.</i></p>			
<p>Examples Service Activities to Satisfy Requirement</p>		<p>How Performance is to be Documented and Evaluated</p>	
<p>~ Active membership in professional associations ~ Editorial or review activities ~ Serving as a committee member, board member, division chair, or officer. ~ Serving as a session chair or track chair at a professional conference ~ Providing student experiences outside the expectations of teaching ~ Other organizational citizenship behaviors to the profession (it is incumbent on the faculty member to show how the activity meets the description of Professional Service).</p>		<p>Success: Peer review of candidate’s service statement and other documents that verifies contributions to the profession.</p> <p>Sustained Success: Peer review of candidate service statement and other documents that verifies continued contributions to, and leadership within, the profession (e.g., multiple, repeated, or lengthy terms as an elected or volunteer officer for a professional organization, ad-hoc reviews for a variety of peer-reviewed journals, continued active membership in multiple professional organizations, serving as a track chair, or repeated provision of opportunities for students’ experiences outside the classroom).</p>	
<p>3. Public Service: (4.2.3.2.3), <i>faculty members meet this goal when they provide evidence of using their professional skills and expertise to serve community, state, national, or international public constituents.”</i></p>			
<p>Examples Service Activities to Satisfy Requirement</p>		<p>How Performance is to be Documented and Evaluated</p>	
<p>~ Serving as a board member, division chair, officer, editor, reviewer, or committee member of a public organization ~ Writing op eds or other articles in newspapers or other print media or on television or radio. ~ Other activities than the ones outlined above can be considered Public Service but it incumbent upon the faculty member to demonstrate how the activity satisfies the criteria for Public Service.</p>		<p>Success: Peer review of candidate’s service statement and other documents that verifies Public Service contributions.</p> <p>Sustained Success: Peer review of candidate service statement and other documents that verifies continued contributions to, and leadership within, Public Service.</p>	
<p>4. Professional Consultation: (4.2.3.2.3), <i>faculty members meet this goal when they provide evidence of using their professional skills and expertise to serve community, state, national, or international public constituents.”</i></p>			
<p>Examples Service Activities to Satisfy Requirement</p>		<p>How Performance is to be Documented and Evaluated</p>	
<p>~ Provide professional expertise to business or industry groups ~ Provide professional expertise to schools or community organizations ~ Providing professional expertise to colleagues in other university programs ~ Consultation services to external constituents within the faculty member’s professional expertise ~ Other activities than the ones outlined above can be considered Professional Consultation but it incumbent upon the faculty member to demonstrate how the activity satisfies the criteria for this dimension.</p>		<p>Success: Peer review of candidate’s service statement and other documents verifying Professional Consultation activities.</p> <p>Sustained Success: Peer review of candidate’s service statement and other documents verifying continued engagement in providing professional expertise to relevant stakeholders.</p>	

*Candidate’s Description of Performance Related to Standard

**Documentation Location

V. Required Documentation for Tenure and/or Promotion

The candidate's evidentiary documentation in support of their application should be organized into three binders. An ordered and descriptive checklist is provided below for each binder. The contents and order of presentation of *Binder I* is required by the Provost, and it is the only binder that goes to the Provost's Office. It is imperative that each faculty member EXACTLY follow the *Binder I* organizational structure. The Provost's office will generally provide the binder and tabs to new faculty during orientation, but these may also be requested from the MKT Department. The contents of Binder II and Binder III contain supporting information, and will be reviewed only by the DPC, the DH and the Dean, unless specifically requested for review by the Provost's Office.

A. Tab Ordered Checklist for Binder I

Application for Promotion and/or Tenure Form – This Provost-required form is to be placed in front of Tab 1 at the front of the binder.

1. Departmental Matrix – The matrix (Parts 1A-3B) are specific to the MKT department. It may be found on the Marketing Department Website. It represents an overview of the tenure and promotion criteria and contains the faculty member's point-by-point self-assessment of how the criteria are met, as well as the location of supporting material. **Note:** As all matrices will be completed in Microsoft Excel, it is imperative that proper margins, proper headings (no orphan headings), and proper pagination is used in creating the matrices. It is strongly suggested that the applicant print the matrices out to verify that that margins, headings and pagination are well executed. Failure to do so may result in the return of the application for immediate correction.

2. Personal Summary Statement – The candidate's description of who he or she is and his or her teaching, research, and service philosophies. It should describe what is important to the faculty member and how he or she assesses his or her roles, responsibilities, accomplishments, and objectives. Typically, the summary should not exceed five (5) pages.

3: Current Vita – An accurate, complete, and up-to-date academic vita *in which the research section is identical to the Table of Contents in the faculty member's Binder II - Research Supporting Documentation.*

4-6: The Annual Reappointment Reviews for Probationary Employees Reports – A copy of the annual reappointment reviews (for non-probationary faculty, this would be the annual review) from the **DPC** (Tab 4), the **DH** (Tab 5), and the **Dean** (Tab 6) for each year of the review period.

7: External Review Letters – (To be inserted by the DH) The FH requires four outside/external peer reviews as part of the tenure and promotion packets, with the DH responsible for obtaining sufficient reviewers (with collaborative input by the faculty candidate) (FH 4.8.2.2). Qualified external evaluators shall be tenured colleagues in the candidate's primary field of teaching/research and shall hold at least the rank sought. They should be from an AACSB accredited institution at or above the level of MSU. The four evaluators should be independent (i.e., someone other than a co-author or close relative). External reviewers will normally be selected from comparable institutions; however, individuals whose expertise make them specifically suitable to serve as reviewers may also be selected. The list of reviewers will be submitted to the Dean, who will certify that the selection process has been followed (FH 4.8.2.2). The timeline for the process of securing external reviewers can be found in the Provost's Calendar.

It is then incumbent upon the DH to contact the reviewers, secure their written agreement to serve as reviewers and follow up with reviewers to ensure letters are received in accordance with the timeline prescribed by the Provost's office. The peer review evaluation letters should be sent directly to the DH in a timely manner. The faculty member shall not be penalized if an evaluator who agreed to perform the review does not complete the review on time.

Evaluators should be instructed to assess the quality and quantity of research and professional contributions considering the candidate's teaching and service workload and the missions of MSU, COB, and the MKT Department. If student evaluation scores are sent to the outside evaluators, they should be accompanied by a statement indicating that in COB, a "5" is a high and "1" is a low score.

8: Departmental Guidelines - A copy of relevant *MKT Department Annual Review, Promotion, and Tenure Guidelines* applicable to the candidate. See FH 4.8.7 for additional guidance.

B. Checklist for Binder II - Research Supporting Documentation

1. **Table of Contents: A Numbered List of All Work** – Each item must be numbered and appear in the following order.
 - Peer-reviewed publications in reverse chronological order (most recent first)
 - Submitted work under review
 - Works in process (optional)
2. **Research Agenda Summary and Description** – A table listing (in the following column order) current Project Descriptions, their Focal Area, their Stages of Completion, the Targeted Outlets with planned submission dates. Include a discussion elaborating on the information presented in the research agenda summary.
3. **Research Statement** – A self-evaluation summarizing the candidate's research and addressing the degree to which standards described in Research (see Matrix Part 2A and 2B) have been met.
4. **Copies of All Works** - Include copies of **all** listed papers in the order of the Table of Contents. Separate each paper with tabs. Papers accepted for publication or those in press must include acceptance letters.
5. **Table of Indicators of Contribution and Quality** – Table that contains the following information (in column order): Complete Citation (indicating authorship order and containing comments regarding contribution if not consistent with authorship order appearance), Journal Rankings/Acceptance Rates, Journal Impact Factor, Citation Count, and Other Indicators of Quality. At least one indicator of quality should generally be listed for each publication.

C. Checklist for Binder III - Teaching and Service Supporting Documentation

1. **Table of Contents**
2. **Teaching Statement** - A self-evaluation summarizing the candidate's teaching philosophy and activity and that addresses the degree to which standards described in Teaching (see Matrix Part 1 A and B) have been met.
3. **Course Documents**
 - Copies of the most recent course syllabi for each course taught (required)
 - Samples of assignments (directions) and/or exams (suggested)
 - Samples of student projects (optional)
 - Other documentation to support teaching effectiveness (optional)
4. **Stakeholder Feedback**
 - Summary table of all teaching evaluation results including ratings on items and dimensions of formal student evaluation forms (required)
 - Copies of all evaluation forms containing student's comments (back side only) from all semesters during the period of review (do not include evaluations without student comments)
 - Peer reviews of classroom observations (where applicable) **See Appendix B for Review Form**
 - Student, alumni, and/or employer feedback or comments (optional)
5. **Service Statement** - A self-evaluation summarizing the candidate's service activity addressing the degree to which the standards described in service have been met and the faculty member's contribution.
6. **Supporting Service Documentation**
 - Any documents evidencing the contribution of the candidate to service activities.

APPENDIX A
Review Process and MKT Evaluation Committees

The MKT Department Evaluation Committees shall be elected by a majority of the MKT ranked faculty as required. The Department Evaluation Committees consist of 1) The MKT Tenure & Promotion Guidelines Committee and 2) The MKT Departmental Personnel Committee. Declining to serve on an evaluation committee for a particular year shall not be construed as refusing to assist in service to the department. Faculty members may be elected to one or more of the following evaluation committees, according to the criteria described below.

A. MKT Tenure & Promotion Guidelines Committee

As required, but at least every three years, a committee of at least three tenured MKT faculty members (of any rank but containing at least one Associate and one Full Professor) shall be elected to review the MKT guidelines for annual review, tenure, and promotion and to recommend changes in these Guidelines. A simple majority vote of all MKT ranked faculty members is necessary to approve these Guidelines.

B. MKT Departmental Personnel Committee (DPC)

The DPC shall make the departmental faculty recommendations concerning reappointment, pre-tenure review, tenure, and promotion. The DPC may seek formal or informal input from other tenured MKT faculty members regarding the tenure, promotion and reappointment of candidates. All tenured faculty members are expected to serve on this committee in order to vote on tenure applications. The DPC is required by FH 4.8.3. and shall have at least five members.

1. Composition and Selection

The DPC shall be composed of all tenured faculty members of Associate or Full Professor rank, excluding the DH. This committee should meet as frequently as needed to facilitate timely consideration of reappointments by such deadline as specified in the University Academic Calendar. The committee shall serve through each academic year. The chairperson shall be elected by members of the DPC each year.

If a candidate is applying for Full Professor, only Full Professors shall vote on that DPC decisions for the candidate. Both Full and Associate Professors are eligible to vote on the DPC if the candidate is applying for promotion to Associate Professor or for tenure. Obviously, a faculty member who is applying for promotion is not eligible to vote on their own application.

COB faculty members from other departments should be selected by the DH to serve as members of the MKT DPC only if the MKT Department lacks five (5) eligible MKT faculty members who are qualified to serve. (See the FH and COB guidelines for selecting promotion and tenure committee members from outside of the home department.) A temporary committee member (recommended by the DPC and appointed by the DH) may be selected to join the MKT DPC for the consideration of a candidate only if the initial committee does not contain enough professors at or above the rank to which a candidate seeks promotion. The candidate may express a preference for outside committee members whom he or she deems most qualified to evaluate his or her scholarly work. Preference should be given to that selection if: 1) they meet the rank qualification, and 2) they agree to serve on the committee.

2. Committee Responsibilities

(a) Review and Report by DPC:

Each member of the DPC shall review applications of faculty members, along with accompanying supporting documents. The DPC should refer to eligibility and qualifications set forth in these Guidelines, including documentation specified for application for reappointment, tenure and promotion. The DPC's recommendation concerning reappointment should include comments concerning strengths and weaknesses to assist the candidate with progress toward tenure and promotion. The DPC's reappointment review shall state and provide supporting rationale for one of the following three positions, in accord with FH 4.6.5.1:

1. That progress toward tenure is satisfactory;
2. That progress toward tenure is questionable, identifying areas for improvement and providing specific suggestions;
3. That progress toward tenure is unsatisfactory, providing specific rationale.

Each recommendation should state whether the faculty member is recommended for reappointment, tenure or promotion, respectively, by the majority of the DPC and shall include an assessment of the faculty member's performance in each of the areas of teaching, research, and service.

The DPC report should accurately and specifically describe how the candidate's performance meets or fails to meet the departmental standards for tenure and promotion. This report should explicitly address any problem areas. The report should place the current year's review in the context of previous reviews, noting whether earlier recommendations were followed and whether the candidate's progress toward tenure is on track. This detailed assessment is especially important during the year four review for faculty members on a six-year tenure track.

The number of votes supporting, abstaining or not supporting the recommendation shall be included. Each member of the DPC will sign the written evaluation. Those members who do not agree with the evaluation may include a separate evaluation summarizing the dissenting view. The DPC Chair shall provide a signed copy of the written evaluation report to the DH (who shall send a copy to the candidate and forward copies to other appropriate parties). See Section II.B as well as the other sections of this document related to tenure or promotion.

The DPC is also responsible for conducting pre-promotion reviews at the request of Associate Professors who anticipate applying for promotion to Professor in coming years (See Section III.D). The DPC is expected to provide detailed (nonbinding) feedback to Associate Professors seeking a pre-promotion review in a manner similar to the feedback provided for the reappointment of probationary faculty. The membership of a DPC conducting a pre-promotion review of an Associate Professor shall consist only of faculty at or above the rank of Full Professor.

(b) Forwarding of Evaluations, Recommendations and Application Packet and Faculty Vote

In accord with FH 4.6.1, copies of evaluations and recommendations at each level shall be provided to the candidate, who shall undersign those evaluations (to acknowledge receipt) before the evaluations, recommendations and accompanying packet is sent to the next level. (The candidate's signature does not imply that the candidate endorses each of the recommendations.)

The DH shall make a copy of the DPC's written evaluation available to each faculty member eligible to vote on tenure or promotion and the candidate shall sign the form. For tenure considerations, all tenured MKT faculty members are eligible to vote. For promotion considerations, only MKT faculty members who already hold equal or higher rank than the candidate is seeking are eligible to vote.

The DH's written evaluation shall be separate from the DPC's written evaluation. Copies of both evaluations shall be placed in the faculty member's personnel file and the DH shall forward copies of each to the COB Dean, along with a record of the vote in addition to providing a copy to the candidate and the candidate's dossier and application packet. The Dean shall make his/her evaluation and accompanying recommendation and forward the evaluations and recommendations from each level of review, along with Binder I of the dossier and application packet to the Provost.

3. Appeals

If the candidate disagrees with the recommendations of the DPC, DH, Dean, or Provost regarding Promotion, Tenure and Re-appointment, the faculty member can appeal promotion and tenure decisions in accord with FH 4.6.1 and 4.7. An appeal related to tenure or promotion is initiated with the Associate Provost for Faculty and Academic Affairs and filed in the Faculty Senate Office (FH 4.7.3.1.). Appeals are heard by the Provost's Personnel Committee (FH 4.7.3.3.).

APPENDIX B
Peer Evaluation of Teaching

Faculty Member to be Evaluated: _____

Course Number and Title: _____

Day, Date, and Time of Evaluation: _____

		(Circle One)					
1) The class period was well organized.	Strongly Disagree	1	2	3	4	5	Strongly Agree
2) It was obvious that the instructor was prepared.	Strongly Disagree	1	2	3	4	5	Strongly Agree
3) The instructor was enthusiastic about the subject.	Strongly Disagree	1	2	3	4	5	Strongly Agree
4) The instructor encouraged questions or participation.	Strongly Disagree	1	2	3	4	5	Strongly Agree
5) The instructor emphasized important points.	Strongly Disagree	1	2	3	4	5	Strongly Agree
6) The instructor projected his or her voice audibly.	Strongly Disagree	1	2	3	4	5	Strongly Agree
7) The instructor was clearly knowledgeable.	Strongly Disagree	1	2	3	4	5	Strongly Agree
8) The instructor used examples where appropriate.	Strongly Disagree	1	2	3	4	5	Strongly Agree
9) The instructor made good use of the available time.	Strongly Disagree	1	2	3	4	5	Strongly Agree
10) The instructor explains the material well.	Strongly Disagree	1	2	3	4	5	Strongly Agree

The strengths of this classroom session were:

The major weaknesses of the classroom session were:

What if anything would you constructively recommend to improve the instructor's teaching performance?

Reviewer Name and Rank (printed): _____

Reviewer Signature: _____