

Return of Organization Exempt From Income Tax

2000

Under section 501(c) of the Internal Revenue Code (except black lung benefit trust or private foundation) or section 4947(a)(1) nonexempt charitable trust

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

The organization may have to use a copy of this return to satisfy state reporting requirements.

A For the 2000 calendar year, OR tax year period beginning 07/01, 2000, and ending 06/30/2001

B Check if applicable: <input type="checkbox"/> Change of address <input type="checkbox"/> Change of name <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Amended return	Please use IRS label or print or type. See Specific instructions.	C Name of organization		D Employer identification number
		SOUTHWEST MISSOURI STATE UNIV FOUNDATION		43-1234200
		Number and street (or P.O. box if mail is not delivered to street address) Room/suite		E Telephone number
		901 S NATIONAL		() -
City or town, state or country, and ZIP code		F Check <input type="checkbox"/> if application pending		
SPRINGFIELD, MO 65804				

G Organization type (check only one) 501(c) (3) (insert no.) 527 OR 4947 (a)(1) Note: (H and I are not applicable to section 527 orgs.)

Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ).

H (a) Is this a group return for affiliates? Yes No
 (b) If "Yes," enter number of affiliates
 (c) Are all affiliates included? (If "No," attach a list. See inst.) Yes No
 (d) Is this a separate return filed by an organization covered by a group ruling? Yes No

I Enter 4-digit group exemption no. (GEN)

J Accounting method: Cash Accrual Other (specify)

K Check here if the organization's gross receipts are normally not more than \$25,000. The organization need not file a return with the IRS; but if the organization received a Form 990 Package in the mail, it should file a return without financial data. Some states require a complete return.

L Check this box if the organization is not required to attach Schedule B (Form 990 or 990-EZ)

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See Specific Instructions on page 16.)

Revenue	1 Contributions, gifts, grants, and similar amounts received: STMT 1			
	a Direct public support	1a	6,452,348.	
	b Indirect public support	1b		
	c Government contributions (grants)	1c		
	d Total (add lines 1a through 1c) (cash \$ <u>6,452,348.</u> noncash \$ <u> </u>)	1d		6,452,348.
	2 Program service revenue including government fees and contracts (from Part VII, line 93)	2		510,534.
	3 Membership dues and assessments	3		
	4 Interest on savings and temporary cash investments	4		
	5 Dividends and interest from securities	5		211,993.
	6 a Gross rents	6a		
	b Less: rental expenses	6b		
	c Net rental income or (loss) (subtract line 6b from line 6a)	6c		104,742.
7 Other investment income (describe <input type="checkbox"/>)	7		-32,008.	
8 a Gross amount from sales of assets other than inventory	(A) Securities	8a	294,250.	
	(B) Other	8b	335,000.	
	b Less: cost or other basis and sales expenses	8c	-40,750.	
	d Net gain or (loss) (combine line 8c, columns (A) and (B))	8d		-40,750.
Expenses	9 Special events and activities (attach schedule)			
	a Gross revenue (not including \$ <u> </u> of contributions reported on line 1a)	9a		
	b Less: direct expenses other than fundraising expenses	9b		
	c Net income or (loss) from special events (subtract line 9b from line 9a)	9c		
	10 a Gross sales of inventory, less returns and allowances	10a		
	b Less: cost of goods sold	10b		
	c Gross profit or (loss) from sales of inventory (attach schedule) (subtract line 10b from line 10a)	10c		
	11 Other revenue (from Part VII, line 103)	11		24,894.
	12 Total revenue (add lines 1d, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11)	12		7,231,753.
	13 Program services (from line 44, column (B))	13		4,718,251.
14 Management and general (from line 44, column (C))	14		197,759.	
15 Fundraising (from line 44, column (D))	15		550,617.	
16 Payments to affiliates (attach schedule)	16			
17 Total expenses (add lines 16 and 44, column (A))	17		5,466,627.	
Net Assets	18 Excess or (deficit) for the year (subtract line 17 from line 12)	18		1,765,126.
	19 Net assets or fund balances at beginning of year (from line 73, column (A))	19		40,326,435.
	20 Other changes in net assets or fund balances (attach explanation) STMT. 2.	20		-285,000.
	21 Net assets or fund balances at end of year (combine lines 18, 19, and 20)	21		41,806,561.

COPY

Part II Statement of Functional Expenses

All organizations must complete column (A). Columns (B), (C), and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others. (See Specific Instructions on page 20.)

Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I.	(A) Total	(B) Program services	(C) Management and general	(D) Fundraising
22 Grants and allocations (attach schedule) (cash \$ _____ noncash \$ _____)	22 983,106.	983,106.		
23 Specific assistance to individuals (attach schedule)	23			
24 Benefits paid to or for members (attach schedule)	24			
25 Compensation of officers, directors, etc.	25			
26 Other salaries and wages	26			
27 Pension plan contributions	27			
28 Other employee benefits	28			
29 Payroll taxes	29			
30 Professional fundraising fees	30			
31 Accounting fees	31			
32 Legal fees	32			
33 Supplies	33			
34 Telephone	34			
35 Postage and shipping	35			
36 Occupancy	36			
37 Equipment rental and maintenance	37			
38 Printing and publications	38			
39 Travel	39			
40 Conferences, conventions, and meetings	40			
41 Interest	41			
42 Depreciation, depletion, etc. (attach schedule)	42			
43 Other expenses (itemize): a <u>STMT 3</u>	43a 4,483,521.	3,735,145.	197,759.	550,617.
b	43b			
c	43c			
d	43d			
e	43e			
44 Total functional expenses (add lines 22 through 43). Organizations completing columns (B)-(D), carry these totals to lines 13-15	44 5,466,627.	4,718,251.	197,759.	550,617.

Reporting of Joint Costs. Did you report in column (B) (Program services) any joint costs from a combined educational campaign and fundraising solicitation? Yes No

If "Yes," enter (i) the aggregate amount of these joint costs \$ _____; (ii) the amount allocated to Program services \$ _____; (iii) the amount allocated to Management and general \$ _____; and (iv) the amount allocated to Fundraising \$ _____

Part III Statement of Program Service Accomplishments (See Specific Instructions on page 23.)

What is the organization's primary exempt purpose? SEE STATEMENT 4

All organizations must describe their exempt purpose achievements in a clear and concise manner. State the number of clients served, publications issued, etc. Discuss achievements that are not measurable. (Section 501(c)(3) and (4) organizations and 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants and allocations to others.)

	Program Service Expenses (Required for 501(c)(3) and (4) orgs., and 4947(a)(1) trusts; but optional for others.)
a <u>SEE STATEMENT 4</u>	
(Grants and allocations \$ 983,106.)	4,718,251.
b	
(Grants and allocations \$)	
c	
(Grants and allocations \$)	
d	
(Grants and allocations \$)	
e Other program services (attach schedule)	(Grants and allocations \$)
f Total of Program Service Expenses (should equal line 44, column (B), Program services)	4,718,251.

Part VII Analysis of Income-Producing Activities (See Specific Instructions on page 30.)

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(E) Related or exempt function income
	(A) Business code	(B) Amount	(C) Exclusion code	(D) Amount	
93 Program service revenue:					
a MISCELLANEOUS					510,534.
b					
c					
d					
e					
f Medicare/Medicaid payments					
g Fees and contracts from government agencies					
94 Membership dues and assessments . . .					
95 Interest on savings and temporary cash investments .					
96 Dividends and interest from securities . .	900000	26.	14	211,967.	
97 Net rental income or (loss) from real estate:					
a debt-financed property					
b not debt-financed property			16	104,742.	
98 Net rental income or (loss) from personal property . .					
99 Other investment income			14	-32,008.	
100 Gain or (loss) from sales of assets other than inventory			18	-40,750.	
101 Net income or (loss) from special events .					
102 Gross profit or (loss) from sales of inventory . .					
103 Other revenue: a					
b CSV LIFE INSURANCE			14	24,894.	
c					
d					
e					
104 Subtotal (add columns (B), (D), and (E)) . .		26.		268,845.	510,534.
105 Total (add line 104, columns (B), (D), and (E))					779,405.

Note: Line 105 plus line 1d, Part I, should equal the amount on line 12, Part I.

Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes (See Specific Instructions on page 31.)

Line No.	Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes).
▼	SEE STATEMENT 15

Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities (See Specific Instructions on page 31.)

(A) Name, address, and EIN of corporation, partnership, or disregarded entity	(B) Percentage of ownership interest	(C) Nature of activities	(D) Total income	(E) End-of-year assets
	%			
	%			
	%			
	%			

Part X Information Regarding Transfers Associated with Personal Benefit Contracts (See Specific Instructions on page 31.)

(a) Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

(b) Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

Note: If "Yes" to (b), file Form 8870 and Form 4720 (see instructions).

Please Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge. (Important: See General Instruction W, on page 14.)

Signature of officer _____ Date _____ Type or print name and title _____

Paid Preparer's Use Only

Preparer's signature _____ Date _____ Check if self-employed Preparer's SSN or PTIN _____

Firm's name (or yours if self-employed) and address, and ZIP code **BKD, LLP**
901 E ST LOUIS #1000/PO BOX 1190
SPRINGFIELD, MO 65801-1190

EIN **44-0160260** Phone no. **417 865-8701**

SCHEDULE A
(Form 990 or 990-EZ)

Organization Exempt Under Section 501(c)(3)

(Except Private Foundation) and Section 501(e), 501(f), 501(k),
501(n), or Section 4947(a)(1) Nonexempt Charitable Trust

Supplementary Information - (See separate instructions.)

OMB No. 1545-0047

2000

Department of the Treasury
Internal Revenue Service

MUST be completed by the above organizations and attached to their Form 990 or 990-EZ

Name of the organization

SOUTHWEST MISSOURI STATE UNIV FOUNDATION

Employer identification number

43-1234200

Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees
(See page 1 of the instructions. List each one. If there are none, enter "None.")

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
NONE				
Total number of other employees paid over \$50,000	NONE			

Part II Compensation of the Five Highest Paid Independent Contractors for Professional Services
(See page 1 of the instructions. List each one (whether individuals or firms). If there are none, enter "None.")

(a) Name and address of each independent contractor paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services	NONE	

For Paperwork Reduction Act Notice, see page 1 of the Instructions for Form 990 and Form 990-EZ.

Schedule A (Form 990 or 990-EZ) 2000

Part III Statements About Activities

		Yes	No
1	During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If "Yes," enter the total expenses paid or incurred in connection with the lobbying activities ► \$ _____		X
Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A. Other organizations checking "Yes," must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities.			
2	During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any of its trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, majority owner, or principal beneficiary:		
a	Sale, exchange, or leasing of property?		X
b	Lending of money or other extension of credit?		X
c	Furnishing of goods, services, or facilities?		X
d	Payment of compensation (or payment or reimbursement of expenses if more than \$1,000)?		X
e	Transfer of any part of its income or assets? If the answer to any question is "Yes," attach a detailed statement explaining the transactions.		X
3	Does the organization make grants for scholarships, fellowships, student loans, etc.?	X	
4a	Do you have a section 403(b) annuity plan for your employees?		X
b	Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs qualify to receive payments. (See page 2 of the instructions.)		

Part IV Reason for Non-Private Foundation Status (See pages 2 through 5 of the instructions.)

The organization is not a private foundation because it is: (Please check only ONE applicable box.)

- 5 A church, convention of churches, or association of churches. Section 170(b)(1)(A)(i).
- 6 A school. Section 170(b)(1)(A)(ii). (Also complete Part V, page 5.)
- 7 A hospital or a cooperative hospital service organization. Section 170(b)(1)(A)(iii).
- 8 A Federal, state, or local government or governmental unit. Section 170(b)(1)(A)(v).
- 9 A medical research organization operated in conjunction with a hospital. Section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state ► _____
- 10 An organization operated for the benefit of a college or university owned or operated by a governmental unit. Section 170(b)(1)(A)(iv). (Also complete the Support Schedule in Part IV-A.)
- 11a An organization that normally receives a substantial part of its support from a governmental unit or from the general public. Section 170(b)(1)(A)(vi). (Also complete the Support Schedule in Part IV-A.)
- 11b A community trust. Section 170(b)(1)(A)(vi). (Also complete the Support Schedule in Part IV-A.)
- 12 An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc., functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Also complete the Support Schedule in Part IV-A.)
- 13 An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in: (1) lines 5 through 12 above; or (2) section 501(c)(4), (5), or (6), if they meet the test of section 509(a)(2). (See section 509(a)(3).)

Provide the following information about the supported organizations. (See page 5 of the instructions.)

(a) Name(s) of supported organization(s)	(b) Line number from above
SOUTHWEST MISSOURI STATE UNIVERSITY	10

14 An organization organized and operated to test for public safety. Section 509(a)(4). (See page 5 of the instructions.)

Part IV-A Support Schedule (Complete only if you checked a box on line 10, 11, or 12.) Use cash method of accounting.

Note: You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting.

Calendar year (or fiscal year beginning in)	(a) 1999	(b) 1998	(c) 1997	(d) 1996	(e) Total
15 Gifts, grants, and contributions received. (Do not include unusual grants. See line 28.)	6,247,732.	7,416,093.	6,536,940.	5,514,588.	25715353.
16 Membership fees received					
17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is not a business unrelated to the organization's charitable, etc., purpose	520,014.	486,152.	380,268.	145,208.	1,531,642.
18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975	1,715,225.	3,600,659.	4,640,013.	1,298,989.	11254886.
19 Net income from unrelated business activities not included in line 18	32,251.				32,251.
20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf					
21 The value of services or facilities furnished to the organization by a governmental unit without charge. Do not include the value of services or facilities generally furnished to the public without charge					
22 Other income. Attach a schedule. Do not include gain or (loss) from sale of capital assets	35,823.	316,291.	30,007.	79,648.	461,769.
23 Total of lines 15 through 22	8,551,045.	11819195.	11587228.	7,038,433.	38995901.
24 Line 23 minus line 17	8,031,031.	11333043.	11206960.	6,893,225.	37464259.
25 Enter 1% of line 23	85,510.	118,192.	115,872.	70,384.	
26 Organizations described in lines 10 or 11: a Enter 2% of amount in column (e), line 24					26a 749,285.
b Attach a list (which is not open to public inspection) showing the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1996 through 1999 exceeded the amount shown in line 26a. Enter the sum of all these excess amounts					26b 891,169.
c Total support for section 509(a)(1) test: Enter line 24, column (e)					26c 37464259.
d Add: Amounts from column (e) for lines: 18 11254886. 19 32,251. 22 461,769. 26b 891,169.					26d 12640075.
e Public support (line 26c minus line 26d total)					26e 24824184.
f Public support percentage (line 26e (numerator) divided by line 26c (denominator))					26f 66.2610 %
27 Organizations described on line 12: a For amounts included in lines 15, 16, and 17 that were received from a "disqualified person," attach a list (which is not open to public inspection) to show the name of, and total amounts received in each year from, each "disqualified person." Enter the sum of such amounts for each year: NOT APPLICABLE (1999) _____ (1998) _____ (1997) _____ (1996) _____					
b For any amount included in line 17 that was received from a nondisqualified person, attach a list to show the name of, and amount received for each year, that was more than the larger of (1) the amount on line 25 for the year or (2) \$5,000. (Include in the list organizations described in lines 5 through 11, as well as individuals.) After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year: (1999) _____ (1998) _____ (1997) _____ (1996) _____					
c Add: Amounts from column (e) for lines: 15 _____ 16 _____ 17 _____ 20 _____ 21 _____					27c _____
d Add: Line 27a total _____ and line 27b total _____					27d _____
e Public support (line 27c total minus line 27d total)					27e _____
f Total support for section 509(a)(2) test: Enter amount on line 23, column (e)					27f _____
g Public support percentage (line 27e (numerator) divided by line 27f (denominator))					27g _____ %
h Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator))					27h _____ %
28 Unusual Grants: For an organization described in line 10, 11, or 12 that received any unusual grants during 1996 through 1999, attach a list (which is not open to public inspection) for each year showing the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant. Do not include these grants in line 15. (See page 5 of the instructions.)					

Part V Private School Questionnaire (See page 5 of the instructions.)

(To be completed **ONLY** by schools that checked the box on line 6 in Part IV)

NOT APPLICABLE

		Yes	No
29	Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?		
30	Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?		
31	Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe; if "No," please explain. (If you need more space, attach a separate statement.)		
32	Does the organization maintain the following:		
a	Records indicating the racial composition of the student body, faculty, and administrative staff?		
b	Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?		
c	Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?		
d	Copies of all material used by the organization or on its behalf to solicit contributions?		
	If you answered "No" to any of the above, please explain. (If you need more space, attach a separate statement.)		
33	Does the organization discriminate by race in any way with respect to:		
a	Students' rights or privileges?		
b	Admissions policies?		
c	Employment of faculty or administrative staff?		
d	Scholarships or other financial assistance?		
e	Educational policies?		
f	Use of facilities?		
g	Athletic programs?		
h	Other extracurricular activities?		
	If you answered "Yes" to any of the above, please explain. (If you need more space, attach a separate statement.)		
34a	Does the organization receive any financial aid or assistance from a governmental agency?		
b	Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either 34a or b, please explain using an attached statement.		
35	Does the organization certify that it has complied with the applicable requirements of sections 4.01 through 4.05 of Rev. Proc. 75-50, 1975-2 C.B. 587, covering racial nondiscrimination? If "No," attach an explanation		

Part VI-A Lobbying Expenditures by Electing Public Charities (See page 7 of the instructions.)

(To be completed ONLY by an eligible organization that filed Form 5768)

NOT APPLICABLE

Check here a if the organization belongs to an affiliated group.
 Check here b if you checked "a" above and "limited control" provisions apply.

Limits on Lobbying Expenditures		(a) Affiliated group totals	(b) To be completed for ALL electing organizations
(The term "expenditures" means amounts paid or incurred.)			
36	Total lobbying expenditures to influence public opinion (grassroots lobbying) . . .	36	
37	Total lobbying expenditures to influence a legislative body (direct lobbying) . . .	37	
38	Total lobbying expenditures (add lines 36 and 37)	38	
39	Other exempt purpose expenditures	39	6,460,965.
40	Total exempt purpose expenditures (add lines 38 and 39)	40	6,460,965.
41	Lobbying nontaxable amount. Enter the amount from the following table - If the amount on line 40 is - The lobbying nontaxable amount is - Not over \$500,000 20% of the amount on line 40 Over \$500,000 but not over \$1,000,000 . . . \$100,000 plus 15% of the excess over \$500,000 Over \$1,000,000 but not over \$1,500,000 . . \$175,000 plus 10% of the excess over \$1,000,000 Over \$1,500,000 but not over \$17,000,000 \$225,000 plus 5% of the excess over \$1,500,000 Over \$17,000,000 \$1,000,000	41	473,048.
42	Grassroots nontaxable amount (enter 25% of line 41)	42	118,262.
43	Subtract line 42 from line 36. Enter -0- if line 42 is more than line 36	43	
44	Subtract line 41 from line 38. Enter -0- if line 41 is more than line 38	44	

Caution: If there is an amount on either line 43 or line 44, you must file Form 4720.

4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below.
 See the instructions for lines 45 through 50 on page 9 of the instructions.)

Calendar year (or fiscal year beginning in) ▶	Lobbying Expenditures During 4-Year Averaging Period					
	(a) 2000	(b) 1999	(c) 1998	(d) 1997	(e) Total	
45	Lobbying nontaxable amount	473,048.	488,149.	449,440.	406,519.	1,817,156.
46	Lobbying ceiling amount (150% of line 45(e))					2,725,734.
47	Total lobbying expenditures	NONE	NONE	NONE	NONE	NONE
48	Grassroots nontaxable amount	118,262.	122,037.	112,360.	101,630.	454,289.
49	Grassroots ceiling amount (150% of line 48(e))					681,434.
50	Grassroots lobbying expenditures	NONE	NONE	NONE	NONE	NONE

Part VI-B Lobbying Activity by Nonelecting Public Charities

NOT APPLICABLE

(For reporting only by organizations that did not complete Part VI-A) (See page 9 of the instructions.)

During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:	Yes	No	Amount
a Volunteers			
b Paid staff or management (Include compensation in expenses reported on lines c through h.)			
c Media advertisements			
d Mailings to members, legislators, or the public			
e Publications, or published or broadcast statements			
f Grants to other organizations for lobbying purposes			
g Direct contact with legislators, their staffs, government officials, or a legislative body			
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means			
i Total lobbying expenditures (add lines c through h)			

If "Yes" to any of the above, also attach a statement giving a detailed description of the lobbying activities.

FORM 990, PART I - OTHER DECREASES IN FUND BALANCES

DESCRIPTION

AMOUNT

UNREALIZED LOSS ON REAL ESTATE HELD
FOR RESALE

285,000.

TOTAL

285,000.

FORM 990, PART II - OTHER EXPENSES

DESCRIPTION	TOTAL	PROGRAM SERVICES	MANAGEMENT AND GENERAL	FUNDRAISING
EQUIPMENT & FURNITURE	113,239.	113,239.		
INSTITUTIONAL EXPENSES	197,759.		197,759.	
STUDENT SERVICES	1,543,558.	1,543,558.		
INSTRUCTIONS & ACADEMIC PROGRAM FUNDRAISING	1,614,068.	1,614,068.		
COSTS OF DIRECT BENEFITS TO DONORS	292,508.	292,508.		
UNCOLLECTIBLE PLEDGES REC.	171,772.	171,772.		
TOTALS	4,483,521.	3,735,145.	197,759.	550,617.

FEDERAL FOOTNOTES

SOUTHWEST MISSOURI STATE UNIVERSITY FOUNDATION, INC
#43-1234200
ATTACHMENT TO 990
06/30/01

PART III - STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS

- 1) ATTRACT AND GENERATE PRIVATE FUNDS FOR THE UNIVERSITY ALLOWING IT TO ACHIEVE GOALS NOT ORDINARILY POSSIBLE THROUGH STATE OR OTHER SOURCES.
- 2) ENLIST THE INTEREST, SUPPORT, AND EFFORTS OF AS MANY VOLUNTEERS AS POSSIBLE IN ATTEMPTS TO OBTAIN FUNDS FOR THE UNIVERSITY.
- 3) PROVIDE FLEXIBILITY IN THE HANDLING, RECEIVING, DISBURSING, AND INVESTING OF MONEY FROM PRIVATE SOURCES.

FORM 990, PART IV - INVESTMENTS - SECURITIES

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE
HICKORY HILLS C.C. STOCK	3,500.	3,500.
HIGHLAND INVESTORS LP-EQUITIES	4,680,687.	1,693,515.
REALTY INVESTORS INC	837,057.	794,172.
SPRINGFIELD TRUST CO-EQUITIES	3,956,476.	3,296,491.
BOND FUND	6,384,553.	3,016,782.
EQUITY FUND	3,804,272.	
INTERNATIONAL FUND	2,143,387.	1,543,194.
RORER ASSET MGT - EQUITIES	5,245,724.	2,131,086.
TRUST CO OF OZARKS - EQUITIES	1,378,584.	1,257,064.
FUNDS HELD IN TRUST	1,384,310.	1,135,466.
RORER ASSET MGT-BALANCED FUND	275,224.	261,693.
CS-VANGUARD VALUE		2,172,841.
CS-MAS SMALL CAP		3,162,171.
CS-DFA US 4-10 VALUE		1,691,208.
CS-MSDW INST. INTNAT'L SMALL C		2,217,583.
CS-SSGA EMERGING MARKETS		1,988,714.
CS-COLUMBIA REAL ESTATE		1,230,420.
WESTRIDGE CAPITAL MANAGMENT		2,081,633.
TOTALS	30,093,774.	29,677,533.

FORM 990, PART IV - INVESTMENTS - OTHER

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----
CSV LIFE INS POLICIES	341,993.	343,895.
	-----	-----
TOTALS	341,993.	343,895.
	=====	=====

FORM 990, PART IV - OTHER ASSETS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE
-----	-----	-----
PROPERTY RECEIVED & HELD FOR RESALE	1,521,832.	918,048.
TOTALS	----- 1,521,832. -----	----- 918,048. -----

FORM 990, PART IV - OTHER LIABILITIES

DESCRIPTION -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----
FUNDS MANAGED FOR SMSU	199,762.	199,762.
ANNUITY OBLIGATIONS	638,917.	626,181.
TOTALS	<u>838,679.</u>	<u>825,943.</u>

FORM 990, PART IV-A - OTHER REVENUE ON RETURN BUT NOT ON BOOKS
=====

DESCRIPTION -----	AMOUNT -----
RENTAL EXPENSES ARE NETTED WITH RENTAL INCOME ON FORM 990 & ARE LISTED WITH OTHER EXPENSES ON AUDITED FINANCIAL STATEMENTS	-658,158.
REALIZED LOSS IS NETTED WITH REVENUE ON FORM 990 AND IS LISTED WITH EXPENSES ON THE AUDITED FINANCIAL STATEMENTS.	-40,750.
CHANGE IN VALUE OF SPLIT-INTEREST AGREEMENTS IS NETTED WITH REVENUES ON FORM 990 AND IS LISTED WITH EXPENSES ON THE AUDITED FINANCIAL STATEMENTS.	-10,430.
TOTAL	----- -709,338. =====

FORM 990, PART IV-B - OTHER EXPENSES ON BOOKS BUT NOT ON RETURN

DESCRIPTION -----	AMOUNT -----
RENTAL EXPENSES ARE NETTED WITH RENTAL INCOME ON FORM 990 & ARE LISTED WITH OTHER EXPENSES ON AUDITED FINANCIAL STATEMENTS	658,158.
REALIZED LOSS IS NETTED WITH REVENUE ON FORM 990 AND IS LISTED WITH EXPENSES ON THE AUDITED FINANCIAL STATEMENTS.	40,750.
CHANGE IN VALUE OF SPLIT- INTEREST AGREEMENTS IS NETTED WITH REVENUES ON FORM 990 AND IS LISTED WITH EXPENSES ON THE AUDITED FINANCIAL STATEMENTS.	10,430.
TOTAL	709,338.

SOUTHWEST MISSOURI STATE UNIV FOUNDATION

FORM 990, PART V - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

TITLE AND TIME
DEVOTED TO POSITION

NAME AND ADDRESS

DIRECTOR
PART-TIME

MR. TOM BLACK
6204 HARDING ROAD
NASHVILLE, TN 37205

SECRETARY-TREASURER
PART-TIME

MR. TOM ALLEN
SMSU OFFICE OF FINANCIAL SERVICES
901 S NATIONAL
SPRINGFIELD, MO 65804

EXEC. DIRECTOR
PART-TIME

MR. GREGORY P. ONSTOT
SMSU-UNIVERSITY ADVANCEMENT
901 S NATIONAL
SPRINGFIELD, MO 65804

DIRECTOR
PART-TIME

MR. JAMES R. CRAIG
2352 EAST GRAND
SPRINGFIELD, MO 65804

DIRECTOR
PART-TIME

MR. ALVA R. ELLISON
5690 COLDSTREAM DRIVE
SPRINGFIELD, MO 65809

DIRECTOR
PART-TIME

MRS. VIVIAN H. DRAGO
117 LANGSTON STREET
WEST PLAINS, MO 65775

DIRECTOR
PART-TIME

MR. JOHN Q. HAMMONS
JOHN Q HAMMONS INDUSTRIES, INC
300 JOHN Q HAMMONS PARKWAY
SUITE 900
SPRINGFIELD, MO 65806

FORM 990, PART V - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

NAME AND ADDRESS	TITLE AND TIME DEVOTED TO POSITION
MR. W. BRYAN MAGERS MAGERS LODGING P.O. BOX 9122 SPRINGFIELD, MO 65801	PRESIDENT PART-TIME
MR. JAMES P. FERGUSON 911 ST. ANDREWS CIRCLE SPRINGFIELD, MO 65809	DIRECTOR PART-TIME
MR. ROBERT E. ROUNDTREE CITY UTILITIES OF SPRINGFIELD P.O. BOX 551 SPRINGFIELD, MO 65801	DIRECTOR PART-TIME
DR. MARION L. WOLF 930 N. FARM ROAD 185 SPRINGFIELD, MO 65802	DIRECTOR PART-TIME
DR. JOHN H. KEISER SMSU PRESIDENT 901 S. NATIONAL SPRINGFIELD, MO 65804	EX OFFICIO PART-TIME
MR. FRED M MCQUEARY 4598 EAST CULLODEN LANE SPRINGFIELD, MO. 65809	DIRECTOR PART-TIME
MR. GRANT W. LAWSON 614 WEST FRANKLIN WHEATON, IL 60187-4037	V-PRESIDENT PART-TIME

FORM 990; PART V -- LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

NAME AND ADDRESS	TITLE AND TIME DEVOTED TO POSITION
MR. ALVIN CLIFTON OZARK MOUNTAIN INTERIORS 1010 WEST CHESTNUT STREET SPRINGFIELD, MO 65802	DIRECTOR PART-TIME
MRS. JACQUIE DOWDY JOHN Q. HAMMONS INDUSTRIES 300 JOHN Q. HAMMONS PKWY SUITE 900 SPRINGFIELD, MO 65806	DIRECTOR PART-TIME
MR. T. EDWARD PINEGAR, JR. 5308 SOUTH KISSICK AVENUE SPRINGFIELD, MO 65804	DIRECTOR PART-TIME
MRS. JANE A. MEYER 3639 EAST KENSINGTON DRIVE SPRINGFIELD, MO 65802	DIRECTOR PART-TIME
CINDY R. BUSBY SMSU UNIVERSITY ADVANCEMENT 901 SOUTH NATIONAL SPRINGFIELD, MO 65804	ASSIS SECR PART-TIME
MR. WILLIAM H. DARR AMERICAN DEHYDRATED FOODS P.O. BOX 4087 SPRINGFIELD, MO 65808	EX-OFFICIO PART-TIME
MR. ROBERT M. GARST 2759 RACQUET CLUB DRIVE	DIRECTOR PART-TIME

FORM 990, PART V - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

TITLE AND TIME
DEVOTED TO POSITION

NAME AND ADDRESS

MIDLAND, TX 79705

MR. THOMAS G. STRONG
THE STRONG LAW FIRM, PC
901 EAST BATTLEFIELD
SPRINGFIELD, MO 65807

DIRECTOR
PART-TIME

DR. BERNICE S. WARREN
821 SOUTH ROGERS AVENUE
SPRINGFIELD, MO 65804

DIRECTOR
PART-TIME

GRAND TOTALS

FORM 990, PART VIII - ACCOMPLISHMENT OF EXEMPT PURPOSES

LINE NO. ---	EXPLANATION OF HOW EACH ACTIVITY FOR WHICH INCOME IS REPORTED IN COLUMN (E) OF PART VII CONTRIBUTED IMPORTANTLY TO THE ACCOMPLISHMENT OF EXEMPT PURPOSES -----
93A	SERVES TO ATTRACT AND GENERATE SUPPORT FOR THE UNIVERSITY, ALLOWING IT TO ACHIEVE GOALS NOT ORDINARILY POSSIBLE THROUGH STATE OR OTHER SOURCES.
103	SERVES TO ATTRACT AND GENERATE SUPPORT FOR THE UNIVERSITY, ALLOWING IT TO ACHIEVE GOALS NOT ORDINARILY POSSIBLE THROUGH STATE OR OTHER SOURCES.

SCHEDULE A, PART III - EXPLANATION FOR LINE 4
=====

THE UNIVERSITY BASES SCHOLARSHIP DISBURSEMENTS ON NEED AND EDUCATIONAL ACHIEVEMENTS. IT ENFORCES A NON-DISCRIMINATORY POLICY.

SOUTHWEST MISSOURI STATE UNIVERSITY FOUNDATION
#43-1234200
ATTACHMENT TO FORM 990
PART IV, LINE 51a

NOTES RECEIVABLE

Details of the notes receivable at June 30, 2001 are as follows:

Two installment promissory notes, 8 percent, receivable in combined monthly installments of principal and interest of \$275.71, final payment scheduled for August 2003, secured by real estate in Kern County, California.

Installment promissory note, no stated interest, receivable in monthly installments of \$200.00, final payment scheduled for September 2004, unsecured.

Installment promissory note, no stated interest, receivable in monthly installments of \$468.09, final payment scheduled for April 2005, unsecured.

Total

\$ 39,010



2000-2001 FINANCIAL REPORT
SOUTHWEST MISSOURI STATE UNIVERSITY FOUNDATION

NOTE 5: BONDS AND NOTES PAYABLE

Details of Real Estate Revenue bonds and notes, as of June 30, 2001, are as follows:

Bond Issue/Note	Bonds Series	Balance		Interest Rate	Due in One Year	Date Last Payment Due
		June 30, 2001	Original Amount			
Office Building—1991	A	\$ 190,674	\$ 1,400,000	8.5%	\$ 180,000	7/1/02
Office Building—1991	B	900,000	900,000	6.0%	—	4/1/06
		<u>\$ 1,090,674</u>	<u>\$ 2,300,000</u>		<u>\$ 180,000</u>	

Aggregate annual maturities of long-term debt at June 30, 2001, are as follows: 2002—\$180,000; 2003—\$230,674; 2004—\$240,000; 2005—\$240,000; 2006—\$200,000.

The Office Building Revenue Bonds were issued under the authority of The Industrial Development Authority of Greene County, Missouri, and the debt is secured by a deed of trust on The Alumni Center. For the year ended June 30, 2001, total interest expense on the 1991 office building debt was \$77,220 and is included in rental expenses.

SOUTHWEST MISSOURI STATE UNIVERSITY FOUNDATION
#43-1234200
ATTACHMENT TO FORM 990
PART VI OTHER INFORMATION, LINE 82a

The Foundation receives administrative support from the university without charge. The value of these services is not recorded as revenues or expenditures by the Foundation.

FEDERAL FOOTNOTES

SOUTHWEST MISSOURI STATE UNIVERSITY FOUNDATION, INC
 ATTACHMENT TO 990
 06/30/01

PART IV - LINE 57

DESCRIPTION	06/30/00	ADD/DEL	ACC DEPR.	06/30/01
ALUMNI CENTER:				
EQUIPMENT	\$ 62,496	\$ (648)	\$ 60,007	\$ 61,848
BUILDINGS	2,460,224		1,031,206	2,460,224
REAL ESTATE-LAND	120,000			120,000
REMODELING-TENANT	174,270	61,000	121,365	235,269
REAL ESTATE-PUTNAM	30,188			30,188
REAL ESTATE-RICHARDS	0	428,260		428,260
	<u>\$ 2,847,178</u>	<u>\$ 488,611</u>	<u>\$1,212,578</u>	<u>\$ 3,335,789</u>

**SCHEDULE D
(Form 1041)**

Capital Gains and Losses

OMB No. 1545-0092

Department of the Treasury
Internal Revenue Service

▶ Attach to Form 1041 (or Form 5227). See the separate instructions for
Form 1041 (or Form 5227).

2000

Name of estate or trust

Employer identification number

SOUTHWEST MISSOURI STATE UNIV FOUNDATION

43-1234200

Note: Form 5227 filers need to complete only Parts I and II.

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example, 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see page 27)	(f) Gain or (Loss) (col. (d) less col. (e))
1					
2	Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824				2
3	Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts				3
4	Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 1999 Capital Loss Carryover Worksheet				4 ()
5	Net short-term gain or (loss). Combine lines 1 through 4 in column (f). Enter here and on line 14 below ▶				5

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example, 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see page 27)	(f) Gain or (Loss) (col. (d) less col. (e))	(g) 28% Rate Gain or (Loss) *(see instr. below)
6						
	SEE STATEMENT 1		294,250.	335,000.	-40,750.	NONE
7	Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824				7	
8	Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts				8	
9	Capital gain distributions				9	
10	Gain from Form 4797, Part I				10	
11	Long-term capital loss carryover. Enter in both columns (f) and (g) the amount, if any, from line 14, of the 1999 Capital Loss Carryover Worksheet				11 () ()	
12	Combine lines 6 through 11 in column (g).				12	
13	Net long-term gain or (loss). Combine lines 6 through 11 in column (f). Enter here and on line 15 below ▶				13	-40,750.

*28% rate gain or loss includes all "collectibles gains and losses" (as defined on page 28 of the instructions) and up to 50% of the eligible gain on qualified small business stock (see page 26 of the instructions).

Part III Summary of Parts I and II

	(1) Beneficiaries' (see page 28)	(2) Estate's or trust's	(3) Total
14 Net short-term gain or (loss) (from line 5 above)	14		
15 Net long-term gain or (loss):			
a 28% rate gain or (loss) (from line 12 above)	15a		
b Unrecaptured section 1250 gain (see line 17 of the worksheet on page 29)	15b		
c Total for year (from line 13 above)	15c		-40,750.
16 Total net gain or (loss). Combine lines 14 and 15c ▶	16		-40,750.

Note: If line 16, column (3), is a net gain, enter the gain on Form 1041, line 4. If lines 15c and 16, column (2) are net gains, go to Part V, and do not complete Part IV. If line 16, column (3), is a net loss, complete Part IV and the Capital Loss Carryover Worksheet, as necessary.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2000

Part IV Capital Loss Limitation

17 Enter here and enter as a (loss) on Form 1041, line 4, the smaller of:
 a The loss on line 16, column (3) or
 b \$3,000

	17 (3,000.)
--	--------------

If the loss on line 16, column (3), is more than \$3,000, or if Form 1041, page 1, line 22, is a loss, complete the Capital Loss Carryover Worksheet on page 30 of the instructions to determine your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates (Complete this part only if both lines 15c and 16 in column (2) are gains, and Form 1041, line 22 is more than zero.)

18 Enter taxable income from Form 1041, line 22			18
19 Enter the smaller of line 15c or 16 in column (2)	19		
20 If you are filing Form 4952, enter the amount from Form 4952, line 4e	20		
21 Subtract line 20 from line 19. If zero or less, enter -0-	21		
22 Combine lines 14 and 15a, column (2). If zero or less, enter -0-	22		
23 Enter the smaller of line 15a, column (2), or line 22, but not less than zero	23		
24 Enter the amount from line 15b, column (2)	24		
25 Add lines 23 and 24	25		
26 Subtract line 25 from line 21. If zero or less, enter -0-			26
27 Subtract line 26 from line 18. If zero or less, enter -0-			27
28 Enter the smaller of line 18 or \$1,750	28		
29 Enter the smaller of line 27 or line 28	29		
30 Subtract line 21 from line 18. If zero or less, enter -0-	30		
31 Enter the larger of line 29 or line 30	31		
32 Tax on amount on line 31 from the 2000 Tax Rate Schedule <i>Note: If the amounts on lines 28 and 29 are the same, skip lines 33 through 36 and go to line 37.</i>			32
33 Enter the amount from line 28	33		
34 Enter the amount from line 27	34		
35 Subtract line 34 from line 33. If zero or less, enter -0-	35		
36 Multiply line 35 by 10% (.10). <i>Note: If the amounts on lines 18 and 28 are the same, skip lines 37 through 50 and go to line 51.</i>			36
37 Enter the smaller of line 18 or line 26	37		
38 Enter the amount from line 35	38		
39 Subtract line 38 from line 37	39		
40 Multiply line 39 by 20% (.20). <i>Note: If line 25 is zero or blank, skip lines 41 through 50 and go to line 51.</i>			40
41 Enter the smaller of line 21 or line 24	41		
42 Add lines 21 and 31	42		
43 Enter the amount from line 18	43		
44 Subtract line 43 from line 42. If zero or less, enter -0-	44		
45 Subtract line 44 from line 41. If zero or less, enter -0-	45		
46 Multiply line 45 by 25% (.25). <i>Note: If line 23 is zero or blank, skip lines 47 through 50 and go to line 51.</i>			46
47 Enter the amount from line 18	47		
48 Add lines 31, 35, 39, and 45	48		
49 Subtract line 48 from line 47	49		
50 Multiply line 49 by 28% (.28)			50
51 Add lines 32, 36, 40, 46, and 50			51
52 Tax on the amount on line 18 from the 2000 Tax Rate Schedule			52
53 Tax on all taxable income (including capital gains). Enter the smaller of line 51 or line 52 here and on line 1a of Schedule G, Form 1041			53

